

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
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James R. Thompson Center
100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
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EXECUTIVE DIRECTOR
Steven S. Sandvoss

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

AGENDA STATE BOARD OF ELECTIONS BOARD MEETING Monday, November 16, 2015 10:30 a.m.

2329 S. MacArthur Blvd.
Springfield, Illinois
and via videoconference
James R. Thompson Center – Suite 14-100
Chicago, Illinois

Roll call.

1. Approval of the minutes from the October 9 meeting. (pgs.1-4)
2. Report of the General Counsel
 - a. Campaign Disclosure;
Request for settlement offer
 - 1) *SBE v. Mercer County Democratic Central Committee*, 5164, 14AS009; (pgs.5-6)
 - 2) *SBE v. Bonnie Thomson Carter Committee*, 11881, 15JQ023; (pgs.7-8)
 - 3) *SBE v. Citizens for Judy Fiske*, 18496, 15JQ033; (pgs.9-10)
 - 4) *SBE v. Citizens to Elect Jeffrey A. Schaefer*, 19021, 15JQ036; (pgs.11-12)
 - 5) *SBE v. Friends of Mike Carberry*, 25368, 15DQ102 & 15MQ194; (pgs.13-18)

Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted

 - 6) *SBE v. Monroe County Democratic Central Committee*, 1162, 15AJ006; (pgs.19-27)
 - 7) *SBE v. Citizens for Steve Kim*, 13184, 15JQ024; (pgs.28-31)
 - 8) *SBE v. Friends to Elect Toni L. Foulkes*, 20107, 15AJ024; (pgs.32-37)
 - 9) *SBE v. Harper College Adjunct Faculty Association*, 20930, 15AM075; (pgs.38-41)
 - 10) *SBE v. Dianne4Ward40*, 25940, 15MA098; (pgs.42-46)

Appeals of campaign disclosure fines – hearing officer recommendation appeals be denied

 - 11) *SBE v. Lisle Township Republican Organization*, 394, 15JQ006; (pgs.47-52)
 - 12) *SBE v. Friends of Marleen Suarez*, 24073, 15JQ083; (pgs.53-55)
 - 13) *SBE v. Friends for Anne Shaw*, 24146, 15AM079; (pgs.56-59)
 - 14) *SBE v. Friends of Thomas McNamara*, 25047, 15AJ030; (pgs.60-64)
 - 15) *SBE v. Citizens to Elect John Clough*, 25541, 15JQ104; (pgs.65-70)
 - 16) *SBE v. Friends of LaShonda "Shonnie" Curry*, 27249, 15JQ136; (pgs.71-75)

Appeals of campaign disclosure fines – hearing officer recommendation appeals be granted & denied

 - 17) *SBE v. Citizens for Matt Bogusz*, 21498, 15AJ026; (pgs.76-79)
 - 18) *SBE v. Friends of Steven McClellan*, 27256, 15JQ137; (pgs.80-82)

Failure to comply with a board order

 - 19) *SBE v. Friends of Sherman C. Jones*, 22764, 14CD113; (pg.83)

Other campaign disclosure items

20) Payment of civil penalties – informational; (pgs.84-85)

Complaints following closed preliminary hearing – separate packet

21) *Johnson v. Kane County Conservative Coalition*, 15CD102; (pgs.1-50)

22) *Agustin v. Committee to Elect Kathy Hamilton*, 15CD106. (pgs.51-57)

3. Report of the Executive Director

- a. Presentation of staff service awards;
 - 1) 15 year award – Jason Kilhoffer;
 - 2) 25 year award – Amy Calvin;
- b. Preparations for the March 15, 2016 General Primary Election;
 - 1) Candidate petition filing staff assignments; (pgs.86-90)
 - 2) Election judge training schools – informational; (pg.91)
- c. Legislative update; (oral report)
- d. Senate Bill 172 update; (pgs.92-93)
 - 1) Consideration of HAVA grant proposal; (pgs.92-93)
- e. Authorization of staff to grant approval of signature imaging systems; (pg.94)
- f. Six month review of executive session minutes; (pg.95)
- g. Two year plan of staff activity for the months of November & December – informational. (pgs.96-98)

4. Follow up. (pg.99)

5. Comments from the general public. (pg.99)

6. Next Board Meeting scheduled for Monday, December 14, 2015 in Chicago and Springfield via videoconference. (pg.99)

7. Executive session. (pgs.100-107)

STATE BOARD OF ELECTIONS

Regular Meeting

Friday, October 9, 2015

MINUTES

PRESENT:

Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan, Member
Andrew K. Carruthers, Member
Betty J. Coffrin, Member
John R. Keith, Member
Casandra B. Watson, Member

ABSENT:

William M. McGuffage, Member

ALSO PRESENT:

Steven S. Sandvoss, Executive Director
James Tenuto, Assistant Executive Director
Kenneth R. Menzel, General Counsel
Darlene Gervase, Admin. Assistant III

The Chairman called the meeting to order at 10:30 a.m. and led everyone in the pledge of allegiance.

Member McGuffage was absent and Member Watson held his proxy.

Chairman Scholz asked for a Motion to adopt the minutes of the September 21st meeting. Member Coffrin so moved and Member Watson seconded the motion to adopt the minutes. The motion passed 8-0.

General Counsel Ken Menzel began his report with Item 2.a.1, a Request for Settlement offer in *SBE v. Citizens to Elect Benjamin Owens*, 15295, 13MA086. After summarizing the committee's activities he indicated their offer was 10%, which is below the settlement policy threshold of 50%. Mr. Menzel did not recommended acceptance of the offer. Member Cadigan moved to adopt the General Counsel's recommendation. Vice Chairman Gowen seconded the motion which passed unanimously by roll call vote.

As to Item 2.a.2, *SBE v. Citizens to Elect Vince Carbonaro*, 25379, 14DQ188, Respondent asked that his three fines totaling \$1,775 be voided. The General Counsel recommended denying the offer. Member Keith moved to adopt the recommendations and Member Carruthers seconded the motion which passed 8-0.

The last settlement offer was in the matter of 2.a.3 *SBE v. Citizens for Heather Sattler*, 26013, 15MA072. Ms. Sattler was present for the Committee. She offered \$500 to settle \$1875 in fines. The offer failed to meet the 50% Board settlement policy. Mr. Menzel recommended denying the offer. Member Keith so moved and Member Watson seconded the motion which passed 6-2. Vice Chairman Gowen and Chairman Scholz voted against the motion.

The Chairman acknowledged the Appearance of Daniel Stucka and Tom Seftenberg for 2.a.4) *SBE v. Glencoe Caucus Advisory Council*, 4284, 15JQ010. They indicated they were available to answer any questions and thanked the Board for granting their appeal. Member Carruthers moved and Member Keith seconded the motion to adopt the General Counsel and Hearing Officer's recommendation to grant the appeal. The motion passed unanimously.

The General Counsel concurred with the Hearing Officer's recommendations to grant the appeals for items 2.a. 5 through 13 with one modification to 2.a.11. He recommended an outright grant of the appeal for #11 since the filing was 8 minutes after the midnight deadline and the Board has granted appeals in similar circumstances. Those cases are: 5) *SBE v. Citizens for John Trowbridge*, 8858, 15JQ018; 6) *SBE v. LIUNA AFL-CIO Local 362 PAC*, 20149, 15JQ043; 7) *SBE v. Illinois Education Association Local 512 CMA*, 21000, 14JQ057; 8) *SBE v. Illinois Education Association Local 512 CMA*, 21000, 15DQ050; 10) *SBE v. Friends of Sue Rezin*, 22250, 15AJ027; 11) *SBE v. Democratic Party of the 29th Ward*, 23266, 14SQ080; 12) *SBE v. Avon Township Democrats*, 26097, 15JQ123; and 13) *SBE v. Iqbal for Elgin*, 27287, 15DQ158. Member Cadigan moved to adopt the General Counsel's recommendation and grant the appeals in Items 2.a.5 through 13 and Item 11 be given an outright appeal. Vice Chairman Gowen seconded the motion which passed 8-0.

The Chairman recognized Richard C. Slocum in the matter of 2.a.18) *SBE v. Slocum for Senate*, 23909, 15MA061. Mr. Slocum indicated that he was closing the committee and wished to withdraw his Appeal and offer a 50% settlement. Member Keith moved to accept the offer to withdraw his appeal and offer 50% as a settlement. Member Cadigan seconded the motion which passed unanimously.

Mr. Ezra M. White filed an appearance for 2.a.2) *SBE v. Citizens for Marvin McNeil*, 26124, 15AM085. He indicated that he would like withdraw his appeal and make a settlement offer of 50%. Member Keith moved to accept the offer to withdraw the appeal and accept the 50% settlement offer. Vice Chairman Gowen seconded the motion which passed 8-0 by roll call vote.

Appeals of campaign disclosure fines wherein the hearing officer recommendation appeals be denied were considered. Those matters are: 14) *SBE v. Macon County Democratic Central Committee*, 155, 15AJ001; 15) *SBE v. York Township Democratic Organization*, 206, 15JQ001; 16) *SBE v. Friends of John Fulgenzi*, 18278, 15AJ019; 17) *SBE v. Citizens to Elect Jeffrey A. Schaefer*, 19021, 15JQ036; 20), *SBE v. Friends of Richard Boykin*, 25506, 15AD087; and 21) *SBE v. Melisa Hammer for County Treasurer*, 25609, 15JQ107. Member Carruthers moved to adopt the General Counsel and Hearing Officer's recommendation and deny the Appeals. Vice Chairman Gowen seconded the motion which passed unanimously by roll call vote.

Member Cadigan moved to adopt the General Counsel and Hearing Officer's recommendation to deny the appeal in 2.a.19) *SBE v. Edwardsville Education Association-IPACE*, 25208, 15JQ096. Vice Chairman Gowen seconded the motion which passed 7-0-1 with Member Carruthers abstaining from the vote.

As to 2.a.23) *SBE v. Friends to Elect Toni L. Foulkes*, 20107, 15AM027, in which nine in-kind contributions were late, the General Counsel agreed with the Hearing Officer's Recommendation to grant Items 1, 2, 4, 5, 6, 7, and 8, and deny items 3 and 9 for lack of an adequate defense. Member Keith so moved and Member Watson seconded the motion that passed unanimously.

Chairman Scholz accepted the Appearance of Antonio Favela in 2.a.24) *SBE v. Garcia for Chicago*, 26214, who asked for a continuance to the December 22nd meeting. Member Keith moved to accept the request to continue and Member Watson seconded the Motion. The motion passed 8-0 by roll call vote.

Attorney Mark Scarlato appeared for 2.a.25) *SBE v. Friends of Sherman C. Jones*, 22764, 14CD113 in response to failure to comply with a board order. He indicated that he will have all the documents ready for the November 16th Meeting. Member Keith noted that the documents must be supplied to Andy Nauman, Deputy Director of Campaign Disclosure by 5:00 p.m.,

Monday, November 9th in order to be on the November agenda and Mr. Scarlato agreed. Member Keith so moved and Member Coffrin seconded the motion. The motion passed unanimously.

As to Items 2.a.26) *SBE v. Committee to Re-Elect Mike Slape*, 1068, 15CD016; 27) *SBE v. Edwards County Republican Central Committee*, 1148, 15CD107; 28) *SBE v. Southland Success PAC*, 22624, 15CD038; 29) *SBE v. Citizens for Burch*, 23006, 15CD043; 30) *SBE v. Friends of David Moore*, 24472, 15CD057; and 31) *SBE v. United Party*, 24735, 15CD060, Mr. Menzel recommended imposition of fines, each in the amount of \$5,000 for failure to comply with previous Board Orders. Member Coffrin moved to adopt the General Counsel's recommendation. Member Cadigan seconded the motion which passed 8-0.

General Counsel Menzel asked the Board to accept his Recommendation and impose the fines as recommended by staff on pages 182, 183, 184, 185, 186, and 187 as modified in additional material supplied to the Board before the meeting. Member Keith so moved and Member Watson seconded the motion which passed 7-0-1 with Mr. Carruthers abstention.

Payment of civil penalties were submitted for informational purposes.

Executive Director Sandvoss began his report with the Proclamation of Results from the September 10, 2015 Special Election in the 18th Congressional District. Republican Darin LaHood won with 35,329 votes. Member Carruthers moved to proclaim Darin LaHood as Congressman in the 18th District with 68.84% of the vote. Member Coffrin second the motion which passed 8-0. The proclamation was signed by the Board.

Cristina Cray, Legislative Liaison, indicated that a special committee hearing will be held on October 20th regarding SB2134 – automatic voter registration at the Secretary of State's Driver's License/State ID Offices. The full House meets on October 20th.

Kyle Thomas, Director Voting Registration Systems, updated the Board on Senate Bill 172. He reported about the training that has been conducted in the counties to familiarize the local clerks on National Change of Address/voter registration procedures and he touched on the registration data run match that must be done April 15 in even years and October 1 in odd years.

Kevin Turner, Director of Information Technology, indicated that the agencies that are required by SB 172 to link with the IVRS system are adversely impacted by a lack of a budget. In addition, the lack of a budget makes it impossible for the Board to reimburse smaller jurisdictions that cannot afford the new hardware that is required to run the core of the system. Although at present, the SBE does not have enough PCs to fully implement candidate filing, a company in Springfield with the contract to sell PCs will supply them to the SBE and await for payment.

As a follow up to last month's meeting the Executive Director reported that the Treasurer's office is willing to set up and maintain a custom website designed to facilitate electronic payments to the SBE, on-line. A link from the SBE's public website will be provided and computers in both SBE offices will be available for the public who may not have their own PCs. Member Cadigan moved to formally begin the process to accept payments through the Treasurer's office and Member Keith seconded the motion which passed unanimously.

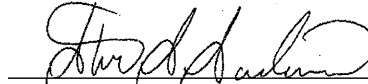
Additional Board meeting dates were suggested by the Executive Director. Member Watson moved to convene special Board meetings as requested on December 14, 2015 at 10:30 a.m. and January 20, 2016 at 10:30 a.m. with video conferencing to facilitate attendance in both Chicago and Springfield. Vice Chairman Gowen seconded the motion which passed 8-0 by roll call vote.

There being nothing further before the Board, Member Carruthers moved to adjourn and Member Cadigan seconded the Motion which passed unanimously by voices in unison. The meeting adjourned at 11:59 a.m.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Darlene Gervase", written over a horizontal line.

Darlene Gervase, Administrative Assistant III

A handwritten signature in cursive script, appearing to read "Steven S. Sandvoss", written over a horizontal line.

Steven S. Sandvoss, Executive Director

#5164

15MQ019

Mercer Co. Democratic Central Committee

806 SW 8th Ave

Aledo, IL 61231

STATE BOARD OF ELECTIONS

15 NOV - 3 AM 9:21

October 31, 2015

Mr. Ken Menzel, General Counsel
2329 S MacArthur Blvd.
Springfield, IL 62704

Dear Mr. Menzel,

I am writing today as Treasurer of the Mercer Co. Democratic Central Committee (referred to as MCDCC) (ID # 5164) to ask you to please consider a settlement offer for the debt that is owed.

MCDCC has civil fines and penalties owed in the amount of \$1350 from late submission of reports. The committee does not have a lot of general funds available as we are a small rural area. I am asking you to consider a settlement offer of half the said amount for a total of \$675.

If that is agreeable with you, we can get a check cut and in the mail within a matter of days. I appreciate your time in this since these various reports are new to me the last two years.

I will await your response.

Sincerely,



Tiffany K. Newswander
MCDCC Treasurer

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
Illinois State Board of Elections)
 Complainant(s),)
)
Vs.) 14 AS 009
)
Mercer County Democratic Central)
Committee)
 Respondent(s).)

FINAL ORDER

TO: Mercer County Democratic Central Cmte
806 SW 8th Ave
Aledo, IL 61231

ID # 5164

This matter coming to be heard this 18th day of August, 2015 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

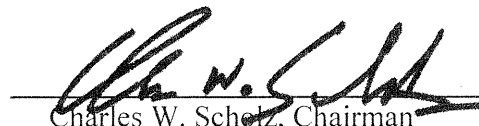
THE BOARD FINDS:

1. In case number 14 AS 009, a \$3000.00 civil penalty was initially assessed against the Respondent for failing to file Schedule A-1's during the third quarter of 2014; appeal was taken from this assessment, and
2. The committee was previously assessed a penalty of \$1050.00, which was stayed as a first violation, for the delinquent filing of the December 2013 Quarterly report (14 DQ 020). This assessment was not appealed, and
3. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be denied for lack of an adequate defense, but that pursuant to section 9-10 of the Code, the assessment be reduced to \$300.00 (10% of the value of the delinquently reported contributions).

IT IS ORDERED:

1. That the recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is DENIED; and
2. A civil penalty in the amount of \$300.00 is imposed and the stay is lifted from the previously assessed penalty of \$1050.00. The total amount of \$1350.00 is now due and owing within 30 days of the effective date of this Order, and
3. The effective date of this Order is August 20, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 8/20/2015


Charles W. Scholz, Chairman

Marbold, Laura

From: BonnieC5@comcast.net
Sent: Tuesday, November 03, 2015 8:55 AM
To: Marbold, Laura
Cc: BonnieC5@comcast.net
Subject: Final order settlement request

STATE BOARD OF ELECTIONS

15 NOV -3 AM 8:57

#11881

159Q023

Dear members of the State Board of Elections,

I am requesting a settlement on the final order for the Bonnie Thomson Carter Committee ID# 1188 where my committee was found delinquent on two quarterly filings; June 2015 & March 2014.

I was unable to file the March 2014 quarterly D2 because I was in Florida tending to my father who passed away at the end of that month of bone cancer. For several months, I had stayed by his side and supported my mother and never even thought about the D2 filings. I didn't request a hearing because I knew I wouldn't be in that situation again. But unfortunately, I filed my June 2015 quarterly report 7 days late. I have no excuse for that.

Since 1995, I have only had one other incident. I believe it was in 2004 when we had a power outage that lasted a couple of days. I also didn't request a hearing at that time.

I am a small committee with a balance of \$1000.00. A penalty of \$625.00 will be a real hardship on my committee. I am asking that you grant my committee a reduction of at least 50%. As you can see, my intentions have never been not to file.

On a side note, thank you for instituting the new filing system, where candidates and elected officials can file from anywhere. It is so much more convenient and easy to use.

Thank you for your consideration.

Bonnie Thomson Carter
Committee ID # 11881
25846 W. Highway 134
Ingleside IL 60041
847 309-6176

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 15 JQ 023
)
Bonnie Thomson Carter Committee)
 Respondent(s).)

FINAL ORDER

TO: Bonnie Thomson Carter Committee ID# 11881
25885 W. Clara Drive
Ingleside, IL 60041

This matter coming to be heard this 9th day of October, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

THE BOARD FINDS

1. In case number 15 JQ 023, a \$400.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2015 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$225.00, which was stayed as a first violation, for the delinquent filing of the March 2014 Quarterly report (14 MQ 035). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$400.00 is imposed and the stay is lifted on the previously assessed penalty of \$225.00. The total amount of \$625.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is October 14, 2015 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/14/2015


Charles W. Scholz, Chairman

October 23, 2015

STATE BOARD OF ELECTIONS

15 OCT 27 AM 8:17

State Board of Elections

State of Illinois

2329 South MacArthur Blvd.

Springfield, IL 62704

Attention: Laura Marbold

Re: Citizens for Judy Fiske ID#18496

To Whom It May Concern:

1590033

Please be advised that I am the treasurer for Citizens for Judy Fiske, an aldermanic campaign committee located in Evanston, IL. The campaign has been assessed a penalty of \$475.00, and I am respectfully requesting that this amount be reduced by fifty (50%) percent, in light of the small amount of funds held in the checking account of Citizens for Judy Fiske – only \$213.94.

I am primarily responsible for the expenditure of funds in this account, and I am also primarily responsible for any possible funds that might come into this account. I do not see any funds being deposited in this account or given to this campaign funds in the foreseeable future. Thus, the only funds available to pay any fine would be the \$213.94.

Thank you in advance for your review of this request.

Sincerely,



Anita Remijas, Treasurer

2311 Sherman Avenue, Evanston, IL 60201

remijas@comcast.net

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 15 JQ 033
)
Citizens for Judy Fiske)
 Respondent(s).)

FINAL ORDER

TO: Citizens for Judy Fiske ID# 18496
 2311 Sherman Ave
 Evanston, IL 60201

This matter coming to be heard this 9th day of October, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

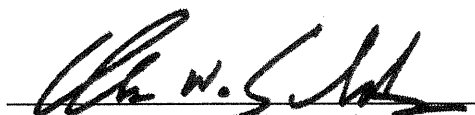
THE BOARD FINDS

1. In case number 15 JQ 033, a \$450.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2015 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$25.00, which was stayed as a first violation, for the delinquent filing of the December 2014 Quarterly report (15 DQ 040). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$450.00 is imposed and the stay is lifted on the previously assessed penalty of \$ 25.00. The total amount of \$475.00 is now due and owing within 30 days of the effective date of this Order; and
2. The effective date of this Order is October 14, 2015 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/14/2015


Charles W. Scholz, Chairman

Menzel, Ken

15 OCT 30 PM 1:19

From: Jeff Schaefer <jas4994@ameritech.net>
Sent: Wednesday, October 28, 2015 10:53 AM
To: Menzel, Ken
Subject: Citizens to Elect Jeffrey A. Schaefer (19021)

Ken Menzel
General Counsel
Illinois State Board of Elections
100 W. Randolph Street, Suite 14-100
Chicago, Illinois 60601
(312) 814-6462
kmenzel@elections.il.gov

#19021
159Q036

Mr. Menzel,

In order to fully resolve this matter, I would be willing to pay \$312.50 (50% of fine) by using the remaining \$170 of fund and additional \$142.50 from my personal account. If acceptable to the Board, I would then file a final report.

I am undecided, but leaning toward running for re-election in 2017 and this would also allow me or the committee to re-establish then, if necessary. I appreciate your help in resolving this unfortunate situation and look forward to hearing response from Board. Please feel free to use the previous letter I mailed to you and original waiver request as supporting documentation. Let me know if there is anything else needed to satisfy Board requirements.

Sincerely,
Jeffrey A. Schaefer
1411 Court St.
McHenry, IL 60050
773-457-5849

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
Illinois State Board of Elections)
 Complainant(s),)
)
Vs.) 15 JQ 036
)
Citizens to Elect Jeffrey A Schaefer)
 Respondent(s).)

FINAL ORDER

TO: Citizens to Elect Jeffrey A Schaefer ID# 19021
 1411 N Court St
 McHenry, IL 60050

This matter coming to be heard this 9th day of October, 2015 as an appeal of the imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections having read the report of the Hearing Officer and reading the recommendation of the General Counsel and now being fully advised in the premises,

THE BOARD FINDS:

1. In case number 15 JQ 036, a \$550.00 civil penalty was assessed against the Respondent for the delinquent filing of the June 2015 Quarterly report; appeal was taken from this assessment, and
2. The committee was previously assessed a penalty of \$75.00, which was stayed as a first violation, for the delinquent filing of the September 2014 Quarterly report (14 SQ 040). This assessment was not appealed, and
3. The recommendation of the Hearing Officer, in which the General Counsel concurs, is that the appeal be denied for lack of an adequate defense.

IT IS ORDERED:

1. The recommendation of the Hearing Officer and the General Counsel is adopted and the appeal is DENIED; and
2. A civil penalty in the amount of \$550.00 is imposed and the stay is lifted on the previously assessed penalty of \$75.00. The total amount of \$625.00 is now due and owing within 30 days of the effective date of this Order, and
3. The effective date of this Order is October 14, 2015, and
4. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/14/2015


Charles W. Scholz, Chairman

- ii. Respondent refers this Board to his 09/30/14, 12/31/14, 03/31/15, 06/30/15 and 09/30/15 Quarterly Reports, Respondent's Fund Balance has not been under \$500.00. During this time period, Respondent did not raise any funds and, aside from monthly bank account fees, has not been expending funds. Respondent clearly was not attempting to undermine the Campaign Disclosure Law by hiding contributions or expenditures.
- iii. Respondent had third party assistance prior to his 12/31/14 Quarterly Report, but from 12/31/14 Quarterly Report until his 06/30/15 Quarterly Report, Respondent handled the filing on his own and did not have a full grasp of the filing requirements. Respondent has since retained assistance in filing his reports, which have since been filed on time.

WHEREFORE, Respondent respectfully requests that this Board reconsider its order in relation to the failure of Respondent to timely file its March 2015 Quarterly Report and recognize that the violation was not willful and wanton but rather a result of inadvertence and decrease the fine to \$500.00 and grant any further relief it deems just.

Offer of Settlement

3. Respondent currently has \$3,025.00 in fines; comparatively, Respondent currently has a fund balance under \$300.00 and his balance over the last four quarterly reports (and even further back) has barely exceeded \$500.00 at any point in time. Respondent is a part-time trustee for an Illinois municipality with a family and a full-time job. Respondent does not regularly raise campaign funds, nor can he afford to put in the requisite time.

4. Respondent respectfully requests this Board to accept an Offer of Settlement in the amount of \$750.00, which represents 50% of outstanding fines (\$1000) plus 50% of the fine related to the March 2015 Quarterly Report, assuming Respondent's Motion to Reconsider is granted.

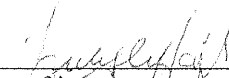
5. If such Offer is accepted, Respondent will have zeroed out his fund balance and will have to identify additional moneys to meet the outstanding obligation.

6. Finally, Respondent has retained third party assistance to avoid these infractions in the future.

WHEREFORE, Respondent respectfully requests that this Board entertain Respondent's Offer of Settlement and accept payment in the amount of \$750.00 and grant any further relief it deems just.

Dated: October 2, 2015

Respectfully submitted,



One of its attorneys

Luke P. Hajzl
LAW OFFICES OF LUKE P. HAJZL
140 S. Dearborn St., Suite, 310
Chicago, Illinois 60603
ARDC: 6299946
(773) 550-2485

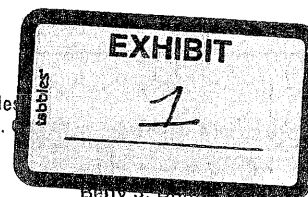
2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS



Charles
Ernest L.



Betty S. Smith
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

August 28, 2015

ID# 25368

Friends of Mike Carberry
Mike Carberry
10500 Kildare Ave
Oak lawn, IL 60453

7015 1730 0002 1035 4841

Dear Friends of Mike Carberry:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: March Quarterly Report of Campaign Contribution and Expenditures
Report Period: January 1, 2015 through March 31, 2015
Filing Period: April 1, 2015 through April 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 5/22/2015, 27 day(s) late. As such, this committee has been assessed a fine of \$2025.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

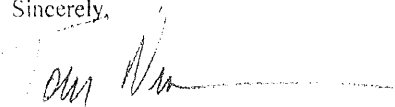
Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2013	Multi Assessment	\$50
2013	Multi Assessment	\$575
2013	Multi Assessment	\$225
2014 December	Quarterly	\$150
TOTAL AMOUNT NOW DUE		\$3025.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director,
Campaign Disclosure Division

www.elections.il.gov

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 15 DQ 102
)
Friends of Mike Carberry)
 Respondent(s).)

FINAL ORDER

TO: Friends of Mike Carberry ID# 25368
 10500 Kildare Ave
 Oak lawn, IL 60453

This matter coming to be heard this 21st day of April, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

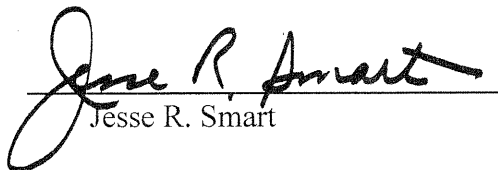
THE BOARD FINDS

1. In case number 15 DQ 102, a \$150.00 civil penalty was assessed against the Respondent for the delinquent filing of the December 2014 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$1350.00 for the delinquent filing of its D-1 Statement of Organization, a penalty of \$225.00 for the delinquent filing of the June 2013 Quarterly report and a penalty of \$500.00 for failing to file a Schedule A-1 during the first quarter of 2013 (13 MA 078). The appeal of this assessment was denied and the Schedule A-1 assessment was reduced to \$50.00 by the Board. A settlement offer of \$850.00 was accepted by the Board, which remains unpaid.

IT IS ORDERED:

1. A civil penalty in the amount of \$150.00 is imposed and is now due and owing within 30 days of the effective date of this Order. The previously assessed penalty totaling \$850.00 remains unpaid and is past due; and
2. The effective date of this Order is April 23, 2015 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 4/23/2015


Jesse R. Smart

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
)
State Board of Elections)
 Complainant(s),)
)
Vs.) 15 MQ 194
)
Friends of Mike Carberry)
 Respondent(s).)

FINAL ORDER

TO: Friends of Mike Carberry ID# 25368
 10500 S Kildare Ave
 Oak Lawn, IL 60453

This matter coming to be heard this 9th day of October, 2015 as an imposition of a civil penalty under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections being fully advised in the premises,

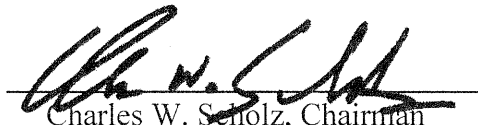
THE BOARD FINDS

1. In case number 15 MQ 194, a \$2025.00 civil penalty was assessed against the Respondent for the delinquent filing of the March 2015 Quarterly report; and
2. An appeal of the civil penalty was not submitted by the committee, and
3. The committee was previously assessed a penalty of \$1400.00 for the delinquent filing of its D-1 Statement of Organization, a penalty of \$225.00 for the delinquent filing of the June 2013 Quarterly report and a penalty of \$500.00 for failing to file a Schedule A-1 during the first quarter of 2013 (13 MA 078). The appeal of this assessment was denied, the Schedule A-1 assessment was reduced to \$50.00 by the Board and a settlement offer of \$850.00 was accepted. The committee was also assessed a penalty of \$150.00 for the delinquent filing of the December 2014 Quarterly report (15 DQ 102). This assessment was not appealed.

IT IS ORDERED:

1. A civil penalty in the amount of \$2025.00 is imposed and is now due and owing within 30 days of the effective date of this Order. The previously assessed penalty totaling \$950.00 remain unpaid and is past due; and
2. The effective date of this Order is October 14, 2015 and
3. This is a Final Order subject to review under the Administrative Review Law and Section 9-22 of the Election Code.

DATED: 10/14/2015


Charles W. Scholz, Chairman

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

v.

15 AJ 006

Monroe County Democratic Central Committee

Cmte ID: 1162

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing Two (2) Schedule A-1 Reports

The Committee filed a Schedule A-1 on May 14th, 2015, with respect to a pair of \$1,000 donations. A contribution from Steamfitters Local 439 PAC Fund was dated April 30th, 2015, and was reported five business days late. A second donation, from Delbert Wittenauer, was dated May 1st, 2015, and was reported four business days late. The assessment is \$500 each, for a total assessment of \$1,000.

Richard Biby, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Biby stated he mistakenly entered the dates on the checks associated with each donation in question when reporting them. The Affidavit includes documentation to support his assertion each donation was deposited in the Committee's account on May 12th, 2015, two business days prior to the filing of the A-1 (within the five business day deadline). 10 ILCS 5/9-10 d) states, in part, "...a contribution is considered received on the date i) a monetary contribution was deposited in a bank, financial institution, or other repository of funds for the committee..."

Accordingly, I believe the Committee filed a timely Schedule A-1 Report with respect to each donation in question and recommend the appeal be granted. The Committee has filed amended June 2015 Quarterly Reports changing the receipt date for each donation in question to May 12th, 2015. As of September 30th, 2015, the Committee's reported balance was \$17,045.83.



John Levin – Hearing Officer
November 2nd, 2015

State of Illinois)
County of: _____)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 OCT 20 PM 3:06

IN THE MATTER OF;

ILLINOIS STATE BOARD OF ELECTIONS,

Complainant

Vs.

Case No. 15A9006

Monroe Co. Democratic Central Comm.
Respondent(s).

APPEAL AFFIDAVIT

I, Richard Bibby, the Treasurer of the
(Name) (Chairman/Treasurer)

Monroe County Democratic Central Committee
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

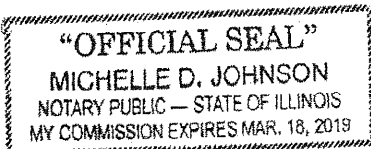
I mistakenly entered the date on the checks of the
2 contributors into my IDIS report later than
the date I actually deposited them.
I had previously sent you copies of my bank
statement as proof of this mistake

Richard Bibby
Signature of Chairman/Treasurer

Signed and Sworn to by:
Michelle D. Johnson

before me this 20 Day of OCT, 2015

Notary Public
(Seal)



ADDENDUM

STATE BOARD OF ELECTIONS

15 OCT 20 PM 3:04

AS ADDITIONAL proof to my statement
I did properly report these
(2) violations in my A9
report which you should be able
to ACCESS IN THE IDIS system

Bick Easley

MONROE COUNTY DEMOCRATIC PARTY

P.O. Box 294
Columbia, IL 62236

9/8/2016

State Board of Elections

2329 S. MacArthur Blvd.

Springfield, IL 62704

Attn: Campaign Disclosure

Subject: Schedule A-1A amended

Dear Sir or Madam:

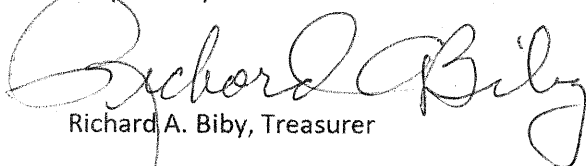
I am writing to provide documentation for having to amend a recently improperly filed report.

The reason for the incorrect report was that I provided the date the checks we received were written by the contributor instead of the date I actually deposited the check in the Committee's checking account. I know this is improper, evidenced by my having filed these reports properly many times in the past. I assume I was working too fast and was not thinking properly. I can assure you that no misrepresentation was intended.

I have enclosed a copy of our bank statement for the month; copies of the checks written ; and a copy of the deposit slip as proof of the actual sequence of events. If this information is found to be insufficient please contact me and I will be happy to provide whatever else is needed.

Re the occupation of Delbert Wittenauer, I have added this information in the amended report/entities section and I trust that you will find this information to your satisfaction.

Respectfully submitted.



Richard A. Biby, Treasurer

Monroe County Democratic Central Committee

*****AUTO**SCH 5-DIGIT 62236
 381 0.6510 AV 0.391 2 1 195
 MO CO DEMOCRATIC CENTRAL COMMITTEE
 8761 DENISON DRIVE
 COLUMBIA IL 62236-4365

STATE BOARD OF ELECTIONS

Printed 5/29/15

Page 1
 3166273602

15 SEP 14 PM 2:48
 IMAGED

BUY IT! BUILD IT! FIX IT! Call Us First! Our mortgage experts are here to make your dream home come true. Whether you are buying, building, remodeling, refinancing, or just need advice, we are here to help!

SUMMARY OF ACCOUNTS

Account Number	Type of Account	Current Balance	Enclosures
3166273602	FNB NON-PERSONAL CHECKING	13,724.29	15

FNB NON-PERSONAL CHECKING	NUMBER OF ENCLOSURES	15
ACCOUNT NUMBER	3166273602	Statement Dates 5/01/15 thru 5/31/15
PREVIOUS BALANCE	12,741.02	DAYS IN THE STATEMENT PERIOD
6 DEPOSITS/CREDITS	10,420.03	AVERAGE BALANCE
16 CHECKS/DEBITS	9,436.76	13,458.87
TOTAL SERVICE CHARGES	.00	
INTEREST PAID	.00	
ENDING BALANCE	13,724.29	

--- ACTIVITY IN DATE ORDER ---

DATE	TRANSACTION DESCRIPTION	AMOUNT
5/01	Square Inc PPD 150501N2	388.96
5/01	PURCHASE SCHNUCKS 0244 CHECK # 2468	115.12
5/04	Square Inc PPD 150502M2	68.07
5/12	DEPOSIT	375.00
5/12	DEPOSIT	9,293.00
5/13	DEPOSIT	240.00
5/21	DEPOSIT	55.00

--- CHECKS IN NUMBER ORDER ---

DATE	CHECK NO	AMOUNT	DATE	CHECK NO	AMOUNT	DATE	CHECK NO	AMOUNT
5/01	2468	-See above	5/19	2475	339.60	5/19	2481	54.75
5/06	2470*	265.00	5/13	2476	151.08	5/29	2482	933.90
5/05	2471	4121.55	5/18	2477	282.00	5/19	2483	296.88
5/01	2472	500.00	5/18	2478	134.00	5/26	2484	500.00
5/08	2473	525.00	5/19	2479	85.20			
5/11	2474	135.00	5/19	2480	997.68			

* - INDICATES CHECK NUMBER(S) OUT OF SEQUENCE

DAILY BALANCE INFORMATION

DATE	BALANCE	DATE	BALANCE	DATE	BALANCE
5/01	12,514.86	5/05	8,461.38	5/08	7,671.38
5/04	12,582.93	5/06	8,196.38	5/11	7,536.38

Member - FDIC

1st National Bank
of Waterloo

WATERLOO
228 South Main
744 North Market
961 North Market
(Wal-Mart)

COLUMBIA
1421 North Main
MILLSTADT
645 E. Washington

O'FALLON
104 Regency Park Drive
907 East Highway 50
(Schnucks)
www.fnbwaterloo.com

TRANSACTION RECEIPT

*All items accepted subject to final verification, collection
and conditions noted on signature cards.*



RECEIPT Drawer: 23 5/12/15
 Trans#: 30 13:49:45
*****73602DDA/SAV Deposit

\$9293.00

Bank Online! www.fnbwaterloo.com

M. CLIFTON (01/15)
A. CLIFTON
121 N CEDAR BLUFF DR
VALMEYER, IL 62295

559
70-7752/2810

4-30-15
Date

Pay to the Order of Mo. Co. Democratic \$ 120.00
One Hundred Twenty & no/100 Dollars



1019 N. Illinois Route 3
Waterloo, IL 62298

For

#65

Ann Marie Clifton NP

⑆ 28 1077522⑆ 1200002042200⑆ 0559

PO BOX 186
SMITHTON, IL 62285

70-454/812

827

DATE 4-30-15 Shield™

PAY TO
THE ORDER OF

Monroe Co. Dem Org. \$ 300.00
three hundred & no/100 DOLLARS



MEMO

Donation #68

L. Conelli NP

⑆ 08 1204540⑆ ⑆ 3405000149⑆ 0827

SANDRA WITTENAUER
OR DELBERT WITTENAUER
6489 KK RD
WATERLOO, IL 62298-3065

5242

80-0942/0810
928

5/1/15
date

Pay to the Order of Monroe County Democrats \$ 1,000.00
One Thousand & no/100 dollars



Waterloo
1405 N. Illinois Rt. 3
Waterloo, IL 62298
1-800-760-BANK (2265)
www.firstbanks.com

for

#72

Sandra Wittenauer NP

⑆ 08 1009428⑆ 2928135327⑆ 5242

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Monroe County Democratic Central Committee ID# 1162
PO Box 294
Columbia, IL 62236

7015 1730 0002 1035 4599

Dear Monroe County Democratic Central Committee:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Steamfitter Local 439 PAC Fund	4/30/2015	\$1000	5/14/2015	5	\$500
Delbert Wittenauer	5/1/2015	\$1000	5/14/2015	4	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1000.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 JQ 024

Citizens for Steve Kim ID# 13184
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2015 Quarterly Report

The Report was received by the Board on 7/27/15, 8 days late, resulting in a civil penalty assessment of \$400. Additionally, the Committee was previously assessed a \$50 civil penalty (not appealed, paid) for delinquent filing of the March 2012 Quarterly Report. The total assessment is \$400.

Steve Kim, the Chairman of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Kim states that he entered his Committee's data into the IDIS electronic filing system on July 6 and transmitted his Report to the Board from his work computer at that time. However, he says unbeknownst to him, the Report did not go through due to technical difficulties at his office. He includes a letter from the office infrastructure support specialist indicating the problem was a faulty router that resulted in some data loss between July 1 and July 8.

I would like to remind the Committee that a Report filing can be verified by checking the Board's website. However, in order to be consistent with previous Board decisions where an electronic filing defense is raised, and since this Committee has not previously used such a defense, I recommend the appeal be granted. (As of 9/30/15, this Committee showed a funds available balance of \$41.93)



Tom Newman – Hearing Officer
November 2, 2015

State of Illinois)
)
County of: _____)

STATE BOARD OF ELECTIONS
15 SEP 30 PM 1:48

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
vs.)
Citizens for Steve Kim)
Respondent(s).)

Case No. 1590024
committee ID 13184

APPEAL AFFIDAVIT

Steve Kim, the Chairman of the
(Name) (Chairman/Treasurer)
Citizens for Steve Kim
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

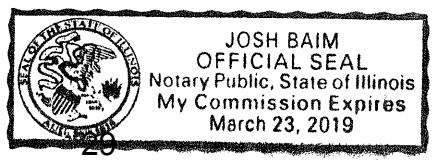
On July 6, 2015 I entered the required
information for the quarterly report of campaign
contributions and expenditures on the IDIS website
on my computer located at my office at 1 N. LaSalle
Suite 1515 Chicago. I transmitted the report through the
website, however, unbeknownst to me, the report did not
transmit due to technical issues at my
office. Attached is a letter attesting to
the technical issues at my office by our infrastructure support
specialist.

[Signature]
Signature of Chairman/Treasurer

signed and sworn to by:
[Signature]

for me this 26 Day of September 2015

Notary Public
(Signature)





To whom it may concern, Due to technical difficulties with our office router we may have experienced loss of data from July 1, 2015 - July 8, 2015. The data lost could include emails, online form submissions, and issues with uploads or downloads. I apologize for any inconvenience this may have caused. Please note the router has been replaced with a superior version and is functioning better than ever. If you have any questions or comments, please don't hesitate to contact me.

Sincerely,

Garrett Fritz
Infrastructure Support Specialist
O: (312) 368-8700
C: (312) 509-5454
E: gfritz@sunpinsolar.us

One North LaSalle Street Suite 1515
Chicago Illinois 60602 USA
T: +1 312 368 8700 F: +1 312 368 0276
www.sunpinsolar.us

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

August 28, 2015

ID# 13184

Citizens for Steve Kim
Steve Kim
3351 Old Mill Rd.
Northbrook, IL 60062-6323

7015 1730 0002 1035 4148

Dear Citizens for Steve Kim:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2015 through June 30, 2015
Filing Period:	July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/27/2015, 8 day(s) late. As such, this committee has been assessed a fine of \$400.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. ***Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.***

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AJ 024

Friends to Elect Toni L Foulkes ID# 20107
Respondent

REPORT OF HEARING OFFICER

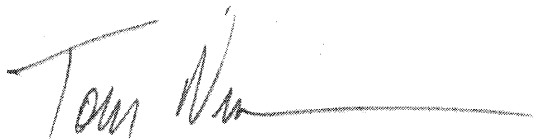
Appeal of Civil Penalty Assessment for Delinquent Filing of a Schedule A-1 Report

The Committee received a \$3,250 contribution on 4/7/15 and reported it on a Schedule A-1 received by the Board on 5/19/15, 25 days late, resulting in a civil penalty assessment of \$1,625. Additionally, the Committee was previously assessed a \$366 civil penalty (appealed, denied, unpaid) for delinquently reporting two Schedule A-1 contributions in the 1st quarter of 2015, and a \$25 civil penalty (not appealed, unpaid) for delinquent filing of the September 2014 Quarterly Report. The total assessment is \$2,016.

Samuel Rivers, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Rivers states the contribution in question was an in-kind contribution from SEIU Healthcare IL IN PAC that the Committee did not receive notification of until May 19, the date the A-1 was filed. He includes a copy of the in-kind contribution notification form received from the contributor as evidence.

Based on the evidence provided, it appears the in-kind contribution was incorrectly reported using the date listed on the notification form rather than the date the form was actually received by the Committee. The A-1 filed on 5/19/15 was therefore timely, and I accordingly recommend the appeal be granted. However, I also recommend the Committee be ordered to amend its June 2015 Quarterly Report to reflect the correct date of receipt for the contribution in question, with the amendment to be filed within 30 days of the date of the Final Board Order in this matter. (As of 9/30/15, this Committee showed a funds available balance of \$4,770.91)



Tom Newman – Hearing Officer
September 28, 2015

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

15 SEP 17 PM 1:53

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends To Elect Toni L. Foulkes)
Respondent(s).

Case No. 15A9024

APPEAL AFFIDAVIT

I, Samuel E. Rivers, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends To Elect Toni L. Foulkes (Committee ID# 20107)
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

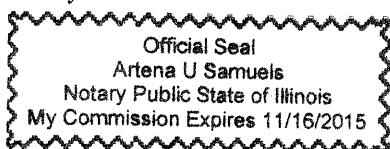
The April 7th In-Kind contribution from SEIU Healthcare IL IN PAC wasn't received by Friends To Elect Toni L. Foulkes until May 19, 2015.

Signed and Sworn to by:

Artena U. Samuels
before me this 14 Day of
Sept, 2011 2015

Samuel E. Rivers
(Signature of Chairman/Treasurer)

Notary Public



IN-KIND CONTRIBUTION NOTIFICATION

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

The contributor shall notify the committee of this donated goods or services within five (5) business days.

Do not send this form to the State Board of Elections.

Full Name, Mailing Address, and Zip Code

If the In-Kind was donated by an Individual, occupation & employer must be provided.

To Candidate/Political Committee:

From:

Friends to Elect Toni L. Foulkes

SEIU Healthcare Illinois Indiana PAC

P O Box 369108

2229 S. Halsted St.

Chicago, IL 60632

Chicago, IL 60608

Occupation & Employer (if applicable)

In-Kind Contribution

Full Name, Mailing Address and Zip Code of Vendor (if applicable).	Date	Value	Description
	4/7/2015	\$3250.00	
SEIU Healthcare Illinois Indiana 2229 S. Halsted St. Chicago, IL 60608			Election Day Canvasser Pay

The political committee must report this contribution on their Form D-2, Section A, Part 5.


Signature of Contributor

SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 or more

FILED

5/19/2015 8:30:30 PM

FOR OFFICE USE ONLY
IDENTIFICATION NO.

Candidate 20107

Friends to Elect Toni L. Foulkes

P.O. Box 369108

Chicago, IL 60636

ITEMIZED RECEIPTS

FULL NAME, MAILING ADDRESS, AND ZIP CODE	VENDOR	RECEIPT TYPE	DATE RECEIVED	AMOUNT OF EACH RECEIPT
In-Kind Contribution				
SEIU Healthcare IL IN PAC (HCII PAC) 2229 S. Halsted St. Chicago, IL 60608	SEIU Healthcare IL IN PAC (HCII PAC) 2229 S. Halsted St. Chicago, IL 60608			
Description: Election day Canvasser Pay			4/7/2015	\$3,250.00

Name and address of person submitting this report if other
than the committee's candidate or treasurer:

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015

Friends to Elect Toni L Foulkes
Toni Foulkes, Sam Rivers
PO Box 369108
Chicago, IL 60636

ID# 20107

7015 1730 0002 1035 4902

Dear Friends to Elect Toni L Foulkes:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
SEIU Healthcare Il In PAC	4/7/2015	\$3250	5/19/2015	25	\$1625

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$1625.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$813.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by August 28, 2015 you forfeit the right to contest this assessment.**

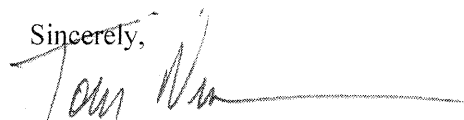
Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2014 September	Quarterly	\$25
2015 March	A1	ON APPEAL \$181
2015 March	A1	ON APPEAL \$85
2015 March	A1	ON APPEAL \$294
2015 March	A1	ON APPEAL \$250
2015 March	A1	ON APPEAL \$82
2015 March	A1	ON APPEAL \$92
2015 March	A1	ON APPEAL \$67
2015 March	A1	ON APPEAL \$65
2015 March	A1	ON APPEAL \$73
<i>TOTAL AMOUNT NOW DUE</i>		\$2027

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF SANGAMON**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections
Complainant

v.

15 AM 075

Harper College Adjunct Faculty Assoc
Cmte ID: 20930
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment
For Failing to File a Schedule A-1 Report

The Committee failed to file an A-1 with respect to a donation of \$2,895 from IEA IPACE, dated March 5th, 2015. The receipt was first reported on the Committee's March 2015 Quarterly Report, filed on April 7th, 2015. The donation was reported 18 days late, resulting in an assessment of \$1,448. The Committee was previously fined \$600 (not appealed, stayed) for delinquent filing the December 2014 Quarterly Report. The total assessment is \$2,048.

Robert Alexander, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Alexander stated the donation in question was a rebate from IPACE, the Illinois Political Action Committee for Education, and said he had not been instructed to report such donations on A-1s in the past.

In accordance with past Board practice, I recommend the appeal be granted. The rebate from IPACE represents an aggregation of small member donations and is not subject to the A-1 requirement. If the Board accepts this recommendation, the stay on the \$600 fine for the delinquent December 2014 Quarterly Report would remain in place. As of September 30th, 2015, the Committee's reported balance was \$10,012.03.



John Levin – Hearing Officer
October 29th, 2015

State of Illinois)
County of: COOK)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

15 OCT -5 PM 2:01

IN THE MATTER OF;)
)
ILLINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
vs. Harper College Adjunct)
Faculty Association)
Respondent(s).)

Case No. 13AM075

APPEAL AFFIDAVIT

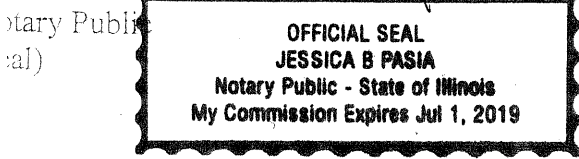
Robert Alexander, the Treasurer of the
(Name) (Chairman/Treasurer)
Harper College Adjunct Faculty Association
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Until I received the notification of a fine (8/28/15) for
late notification of a contribution, I was unaware that contributions
had to be reported within what appears to be 15 days. I have always
just reported them (GRACE rebates) on the A-1 form quarterly without
penalty. Please advise me where I can find this regulation, and I will
comply in the future. I also ask you to waive the fine and the
previously stayed fine from Dec. 2014.
Thank you very much.

Robert Alexander
Signature of Chairman/Treasurer

signed and Sworn to by: J. B. Pasia
for me this 25 Day of September 2015



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Harper College Adjunct Faculty Assoc
Ilona Sala
1477 RFD Meadowlark Drive
Long Grove, IL 60047

ID# 20930

7015 1730 0002 1035 4384

Dear Harper College Adjunct Faculty Assoc:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
IEA IPACE	3/5/2015	\$2895	4/7/2015	18	\$1448

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1448.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$145.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
2014 December	Quarterly <i>BDP 049</i>	\$600
TOTAL AMOUNT NOW DUE		\$745

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

**STATE OF ILLINOIS
COUNTY OF COOK**

**BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS**

Illinois State Board of Elections

Complainant

Vs.

15 MA 098

Dianne4Ward40 25940

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing


A Schedule A-1 in the 1st and 2nd Quarters of 2015

This committee received a \$1,166.52 in-kind contribution on 2/24/15 and reported it on a Schedule A-1 Report received by the Board on 4/8/15, 25 days late, resulting in a civil penalty of \$583. This Committee also received a \$3,472.31 and a \$1,698.13 in-kind contribution on 4/7/15 and reported them on a Schedule A-1 Report received by the Board on 4/15/15, 1 day late, resulting in civil penalty assessments of \$1,736 and \$849. The total assessment is \$3,168.

The Respondent was represented by attorney Andrew Finko at the October 29th appeal hearing.

Mr. Finko gave an overview of the information the candidate, Dianne Daleiden, provided on the Appeal Affidavit at the hearing. He stated that all of the alleged violations pertained to in-kind contributions and the Committee reported the wrong receipt date as they reported the date on the notification forms rather than the date the notifications were received. In relation to the Chicago Teacher Union-COPE in-kind contribution the Committee was under the understanding that the supporters, on their own decision, had volunteered to help on election day and it was not until the Committee received the in-kind notification form on 4/8/15 out of the PO Box that they realized those individuals were not volunteers. The Committee reported this contribution on a Schedule A-1 Report on that same day, 4/8/15. Furthermore, the Committee became aware in April of 2015 that the Post Office had started holding its mail in the back, rather than placing it into the actual PO Box. The Respondent believes the holding of the mail in the back may have delayed the actual receipt of the in-kind contribution notification form. The notifications forms for the two United Working Families PAC in-kind contributions were also held in the back. Furthermore, the Committee did not know that the United Working Families PAC had printed material on their behalf until the in-kind notification forms were received by the Committee at the Post Office on 4/15/15. The Committee reported these contributions on a Schedule A-1 Report on that same day, 4/15/15.

In relation to all three appealed in-kind contributions the Committee reported the wrong receipt date as they reported the date listed on the in-kind notification forms rather than the date the public official, candidate, or political committee received the notification. Taking into account the dates the Committee should have used as the deemed receipt date in these matters, the date the notification form were received, and the date the Committee reported the contributions to the State Board of Elections I recommend the appeal be granted in relation to all three contributions. However, since the Committee's Quarterly Reports still show the wrong receipt dates, I also recommend the Committee be ordered to amend its March and June 2015 Quarterly Reports to report the correct deemed receipt dates of 4/8/15 and 4/15/15, with the amendments to be filed within 30 days of the date of the Final Board Order in this matter. (As of 9/30/15, this Committee showed a funds available balance of -\$1,449.02)


Andy Nauman – Hearing Officer
October 30, 2015

State of Illinois)
County of Cook)

CHICAGO
2015 SEP 28 PM 2: 32
STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Dianne4Ward40)
Respondent(s).)

Case No. 15MA098

APPEAL AFFIDAVIT

I, Dianne Daleiden, the Chair of the
(Name) (Chairman/Treasurer)
Dianne4Ward40
(Name of the Committee)

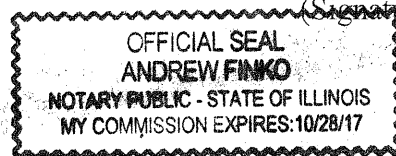
Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached.

Signed and Sworn to by:

DIANNE DALEIDEN
before me this 27th Day of
SEPTEMBER, 2015
Andrew Finko
Notary Public

Dianne Daleiden
(Signature of Chairman/Treasurer)



Appeal Affidavit (continued) – Daleiden4Ward40 Committee ID #25940

The Daleiden4Ward40 Committee (the “Committee”) was diligent in reporting expenses and donations that were known to the Committee. The Committee’s general policy was to enter data on an as-received basis. After the February 24, 2015 election, the Committee picked up mail from its post office box on a daily, or occasionally every other day basis.

***April 8, 2015 Form A-1
Chicago Teachers Union – COPE***

This Form A-1 was timely filed by the Dianne4Ward40 Committee (the “Committee”) within five days of learning that an in-kind donation was made by CTU-COPE. The Committee did not request or offer to pay any volunteers from CTU-COPE, and understood that many supporters, of their own decision, would volunteer on election day, without any promise or expectation of compensation from any source.

The Committee did not know about the in-kind donation by CTU-COPE, nor did the Committee know that value of any such donation exceeded \$150, prior to receipt of the communication from CTU – COPE. The Committee promptly and timely filed the A-1 upon receipt of notice of the in-kind donation on April 8, 2015.

However, in April 2015, the Committee noticed that the US Postal Service had started holding mail in the back, rather than placing into the postal box. The notification from CTU-COPE was one of many pieces of mail that were held by the Postal Service, and delayed in its delivery to the Committee. After the election, the Committee checked its postal box on a daily or every other day basis, and received the notification from CTU-COPE on April 8, 2015, the same date as the Form A-1 was filed.

***April 15, 2015 Form A-1
Working Families PAC***

This Form A-1 was timely filed by the Dianne4Ward40 Committee (the “Committee”) within five days of its knowledge that an in-kind donation was made by Working Families PAC. The Committee did not coordinate with, or request any printing from Working Families PAC. The Committee had no knowledge that any such printing was valued over \$150.00, or that any printing was undertaken on behalf of the Committee, until after receipt of notification from Working Families PAC.

The Committee filed its A-1 promptly upon receipt of the notification from Working Families. The same delay issues were still being addressed with the Postal Service as above, and the Committee reported the in-kind donation on a Form A-1 that was filed on April 15 2015, the same date as the notification was received from Working Families PAC, which was also the same date the Committee first learned about these two in-kind donations.

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernes L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015
ID# 25940

Dianne4Ward40
PO Box 607269
Chicago, IL 60660

7015 1730 0002 1035 3721

Dear Dianne4Ward40:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the 1st Quarter 2015:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Chicago Teachers Union – COPE	2/24/2015	\$1166.52	4/8/2015	25	\$583

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$583.00 for the delinquent filing.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$58.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

In addition this committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act in the 2nd Quarter 2015:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
United Working Families PAC	4/7/2015	\$3472.31	4/15/2015	1	\$1736
United Working Families PAC	4/7/2015	\$1698.13	4/15/2015	1	\$849

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$2585.00 for the delinquent filing.

Under the Board's present policy, since this is the second delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$1293.00, (50% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

TOTAL AMOUNT NOW DUE

\$1351

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,



Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

15 JQ 006

Lisle Twp Republican Org

Cmte ID: 394

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

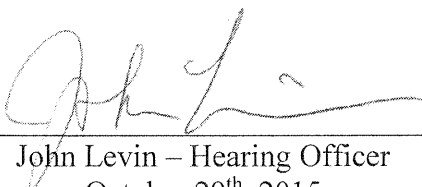
For Delinquently Filing the June 2015 Quarterly Report

The Report was received on July 22nd, 2015, 5 days late, resulting in an assessment of \$500. The Committee was previously fined \$50 (not appealed, paid) for delinquently filing the December 2014 Quarterly Report and \$70 (not appealed, paid) for delinquently filing a Schedule A-1 Report with respect to a donation during the first quarter of 2015. The total assessment is \$500.

James Ensign, the Treasurer of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Mr. Ensign cited a variety of factors that led to the late filing, including the fact the Committee is run by volunteers, the relative lack of campaign disclosure experience of Committee officers, the inadvertent nature of the violation, and the accidental deletion of a computer calendar reminder for the June 2105 Quarterly Report deadline.

I recommend the appeal be denied for lack of an adequate defense. There is no statutory basis for relief in this matter. The Committee was notified of the pending report deadline by conventional mail well in advance of the deadline and could have contacted Board staff for assistance in filing the Report. If the Board accepts this recommendation, a total of \$500 would be due and owing. As of September 30th, 2015, the Committee's reported balance was \$21,631.93.



John Levin – Hearing Officer
October 29th, 2015

State of Illinois)
County of: De Page)

STATE BOARD OF ELECTIONS

BEFORE THE STATE BOARD OF ELECTIONS **15 OCT -5 PM 2: 01**
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
vs.)
Lisle Twp Republican Org.)
Respondent(s).)

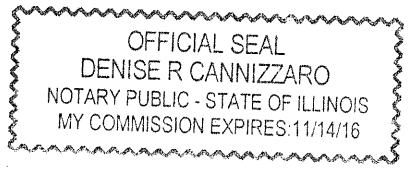
Case No. 15900006

APPEAL AFFIDAVIT

James B Ensign, the Treasure of the
(Name) (Chairman/Treasurer)
Lisle Township Republican Organization
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Refer to attached letter.



James B Ensign
Signature of Chairman/Treasurer

signed and Sworn to by: Denise R. Cannizzaro
for me this 2nd Day of October, 2015
Notary Public
(Signature)

Laura Marbold
Illinois State Board of Elections
2329 S MacArthur Blvd
Springfield IL 62704

September 24, 2015

Please include this letter as an addendum to the attached request for the appeal.

Committee 394 has received the letter dated Aug28, 2015 from the Illinois State Board of Elections notifying us of fines due. We apid the 1st 2 fines on time per the final order issued. We would like to file an appeal for the amount of the remaining \$500 fine.

As you also sent along a copy of the code defining basis on which the amounts of the fines may be appealed. I have copied the relevant sections below and listed our responses section by section.

Section 125.425 Civil Penalty Assessments

d) The Board will calculate the civil penalty as follows:

4) When considering the amount of the civil penalty to be imposed, the Board shall consider all relevant factors, including, but not limited to:

A) Whether, in the Board's opinion, the violation was committed inadvertently, negligently, knowingly or intentionally; and

Committee 394 is a small local committee runs 100% by volunteers. We do not meet every month unless we are actively involved in a local election cycle. The officers are all volunteers and the chairman and treasurer were new in mid 2014. We have not yet completed our rookie year of filing responsibilities. Neither of the officers has any paid political positions. Both hold several other active volunteer positions assisting their communities and the state with their time and talents. Both are actively working taxpayers, husbands and fathers with no training or experience with the State Board of Elections and no agenda for a career or income in government or politics. In short we have no personal agenda and just stepped into volunteer roles mostly because nobody else was stepping up.

These violations by committee 394 in 2015 were committed inadvertently as evidenced by the fact that all the violations were self-reported without any reminders by the state Board and we voluntarily attempted to rectify the violations by calling and writing the Board in a timely and penitent manner.

Committee 394 also submitted a check to the board to pay the State board. This check was returned to committee 394 by the state board of Elections who deemed the 1st violation minor and as 1st offences.

Committee 394 2014 Quarter 4 D2 quarterly (1st D-2 quarterly violation). Our had \$0 in Both Section A Receipts and section B Expenditures.

The causes of the delinquent filings was caused ignorance by the new officers in the areas of: use of the online filing system IDIS v3.0, not understanding the need, process and schedule for

an A1 and an accidental deletion in the committee's electronic calendar of the 2015 Q2 D2 filing deadline reminder.

B) Past violations of Article 9 of the Election Code by the committee. Past violations of any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the committee currently is assessed a civil penalty shall be considered relevant factors when considering the amount of the civil penalty to be imposed.

Past committee Treasurer John Fisher did not miss a deadline or filing. John retired in 2014 as committee treasurer. New volunteer Treasurer James Ensign attempted to understand the online filing procedure by reading the "HELP" section of IDIS v3.0 here:

<https://idis3.elections.il.gov/Help/HelpFiles/IDISHelp.chm> which only says:

404 - File or directory not found.

The resource you are looking for might have been removed, had its name changed, or is temporarily unavailable.

Later The treasurer of 394 found the "?" Link on your website to:

<https://idis3.elections.il.gov/Help/HelpFiles/IDISHelp.html>

This is not a dead link and does now contain more helpful explanations.

When we filed the late A-1 This IDIS v3.0 help section did not define terms like A1 or filing periods for A1. To get this information defined James Ensign contacted the State Board who gave him a link to another website which contained the necessary information defining D2 and A1 and filing periods. By the time that that Treasurer James Ensign understood what an A-1 was he had already filed the April 2015 D-2 (on line and on time). Filing the D2 within IDIS v3.0 creates automatically generates and forced filing the A-1. So in effect we filed our 1st A1 before we actually understood what an A- was and when it was due.

5) If the delinquently filed report is a Schedule A-1 (report of contributions of \$1000 or more), in the final disposition of any appeal of a penalty assessed by the Board for the delinquency, the Board will consider assessing a civil penalty as follows:

D) When considering the amount of the civil penalty to be imposed under subsection (d)(5)(C), the Board shall consider the following factors:

i) Whether the political committee made an attempt to disclose the contribution and any attempts to correct the violation;

Committee 394 has voluntarily disclosed and thereby has attempted to rectify the situations by self reporting the violation in IDIS v3.0 and calling the State Board of Elections in a timely and penitent manner to seek compliance.

ii) Whether the violation was attributed to a clerical or computer error;

A different clerical volunteer accidentally deleted our own computer calendar reminders for the July 2015 D-2 filings. We have since removed the authority to delete calendar events and signed up for the email reminders from the State Board of elections.

iii) *The amount of the contribution;*

For the A1 violation the amount of the violation was \$1400 (which we voluntarily reported on time in the D-2 for 2015 Q2. The State Board issued a final Order for the late A1 which committee 394 paid in full on time.

iv) *Whether the violation arose from a discrepancy between the date the contribution was reported transferred by a political committee and the date the contribution was received by a political committee;*
NA

v) *The number of days the contribution was reported late; 6 and*


vi) *Past violations of Sections 9-3 and 9-10 of the Election Code by the political committee. Past violations of any committee composed of one or more of the same officers, or for the same purpose or for the support of the candidacy of the same person, irrespective of office, as the committee currently is assessed a civil penalty shall be considered relevant factors when considering the amount of the civil penalty to be imposed.*

As far back as we can see in our records these 3 violations are Committee 394's 1st time dealing with any violations. We do not support any single candidate in a significant way financially. We do not believe that any candidates we have supported in directly in a minor way have violations with the State Board of Elections. The treasurer believes that neither officers of committee 394 are candidates or involved in another committee which is required to register with Illinois State Board of Elections Committee.

Committee 394 takes these missed filing windows very seriously. Committee 394 has contacted a professional accounting firm to assist in insuring that we have no future violations with the Illinois State of Elections. We have registered for the State Board's email reminders of filing deadlines and received our 1st reminder for our Q3 2015 D-2

Additionally we have set up a series of more reliable and independent calendar reminders to also insure that future board of elections filing windows are not forgotten.

Thank you for your time and Consideration.


James B Ensign, Treasurer
Committee 394
644 Balmoral Circle
Naperville IL 60540

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

August 28, 2015

ID# 394

7015 1730 0002 1035 4094

Lisle Twp Republican Org
James B Ensign
644 Balmoral Circle
Naperville, IL 60540

Dear Lisle Twp Republican Org:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2015 through June 30, 2015
Filing Period:	July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/22/2015, 5 day(s) late. As such, this committee has been assessed a fine of \$500.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
December 2014	Quarterly	\$50
March 2015	A1	\$700
TOTAL AMOUNT NOW DUE		\$1250.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 JQ 083

Friends of Marleen Suarez ID# 24073
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2015 Quarterly Report

The Report was received by the Board on 7/24/15, 7 days late, resulting in a civil penalty assessment of \$700. Additionally, the Committee was previously assessed a \$50 civil penalty (not appealed, stayed) for delinquent filing of the March 2014 Quarterly Report. The total assessment is \$750.

Kelly Sullivan, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Sullivan states that the late filing was unintentional, and was simply an oversight on her part resulting from the fact that the Committee had no activity to report during the reporting period. She adds that once she realized the Report was late, she filed it immediately.

I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the stay will be lifted from the previous penalty and the total assessment of \$750 will be due and owing. (As of 9/30/15, this Committee showed a funds available balance of \$6,177.79)



Tom Newman – Hearing Officer
November 2, 2015

State of Illinois)
County of: Madison)

STATE BOARD OF ELECTIONS

15 SEP 30 PM 1:48

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Marleen Suarez)
Respondent(s).)

Case No. 15900083

APPEAL AFFIDAVIT

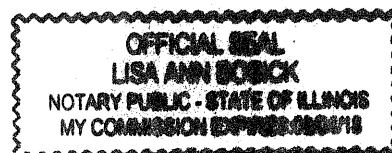
I, Kelly Sullivan, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Marleen Suarez
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

This committee was inactive during the reporting period in question. I did not have to make any filings of any transactions and, as such, the report filing date simply passed me by. When I realized it was late, I filed it immediately. There was no malice or intent to deceive and the fine for filing late seems excessive and will unduly punish the committee for a simple oversight.

Kelly Sullivan
Signature of Chairman/Treasurer

Signed and Sworn to by: Lisa Ann Bobick
before me this 28th Day of September, 2015
Notary Public
(seal)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015
ID# 24073

Friends of Marleen Suarez
22 Summertree
Collinsville, IL 62234

7014 3490 0000 5044 5341

Dear Friends of Marleen Suarez:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2015 through June 30, 2015
Filing Period:	July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/24/2015, 7 day(s) late. As such, this committee has been assessed a fine of \$700.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
March 2014	Quarterly	\$50
TOTAL AMOUNT NOW DUE		\$750.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman, Director,
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections

Complainant

v.

15 AM 079

Friends for Anne Shaw

Cmte ID: 24146

Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment

For Delinquently Filing Four (4) Schedule A-1 Reports

The Committee filed a Schedule A-1 on April 12th, 2015, reporting three donations: \$1,980 from Canal Street Self Storage; \$1,900 from Starlight Energy Concepts; and \$1,000 from CDM & HR Group. The Committee filed a Schedule A-1 on April 14th, 2015, reporting a donation of \$1,990 from 1601 Canal LLC. All the contributions in question were dated January 13th, 2015. The three reported on April 12th were 55 business days late. The receipt reported on April 14th was 56 days late. The assessments are \$990 with respect to the donation from Canal Street Self Storage, \$950 for the Starlight Energy Concepts donation, \$500 with respect to the contribution from CDM & HR Group, and \$995 for the 1601 Canal LLC receipt, for a total of \$3,435. The Committee was previously assessed \$177 (not appealed, paid) for a late A-1 during the first quarter of 2012; \$275 (not appealed, paid) for a delinquent June 2012 Quarterly Report; and \$320 (not appealed, paid) with respect to a late A-1 during the second quarter of 2013.

Anne Shaw, the Candidate, as well as the Chairperson of the Committee, filed a Waiver of Appearance and an Appeal Affidavit in this matter.

On the Affidavit, Ms. Shaw stated the latest delinquent A-1s were "inadvertent and not done with any malice or deception." She said there was "confusion with some of the checks and donations."

I recommend the appeal be denied for lack of an adequate defense. There is no statutory basis for relief in this matter. If the Board accepts this recommendation, the assessment would be \$3,435 (100% of the above-referenced fine amount) as the product of a third A-1 offense. As of September 30th, 2015, the Committee's reported balance was \$5,529.26.



John Levin – Hearing Officer
October 28th, 2015

State of Illinois)
County of: _____)

STATE BOARD OF ELECTIONS
15 OCT -5 PM 2:01

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF:)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Friends for Anne Shaw)
Respondent(s).)

Case No. 15AM079

APPEAL AFFIDAVIT

Anne Shaw, the Chairman of the
(Name) (Chairman/Treasurer)
Friends for Anne Shaw
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The delinquent filings in question were inadvertent and not done with any malice or deception. Respondent filed as soon as it was discovered. There was confusion with some of the checks and donations. Committee also seeks a reduction in the fines.

[Signature]
Signature of Chairman/Treasurer

Subscribed and Sworn to by:
_____ Date me this _____ Day of _____, 20____
Notary Public

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015

Friends for Anne Shaw
1184 N Milwaukee Ave
Chicago, IL 69642

ID# 24146

7015 1730 0002 1035 4544

Dear Friends for Anne Shaw:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Canal Street Self Storage	1/13/2015	\$1980	4/12/2015	55	\$990 —
CDM & HR Group	1/13/2015	\$1000	4/12/2015	55	\$500 —
Starlight Energy Concepts	1/13/2015	\$1900	4/12/2015	55	\$950 —
1601 Canal LLC	1/13/2015	\$1990	4/14/2015	56	\$995

As required by the Illinois Campaign Disclosure Act, as amended by Public Act 96-832, your committee is subject to a fine of \$3435.00 for the delinquent filing. This total *does not* reflect any previously assessed fines.


Under the Board's present policy, since this is the third delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$3435.00, (100% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AJ 030

Friends of Thomas McNamara ID# 25047
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Failure to File Schedule A-1 Reports

The Committee received three \$1,000 contributions and a \$5,000 contribution on 5/18/15, and failed to report these on a Schedule A-1, resulting in a civil penalty assessment of \$4,000.

Christina Peacock, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Peacock states that an A-1 was filed by the Committee via fax on 5/18/15. She includes a copy of that A-1, but says too much time has passed for the Committee to access its fax logs to verify the filing.

Unfortunately, there is no evidence to support the Committee's claim that the A-1 in question was faxed to the Board. Additionally, it is not clear why the A-1 would have been faxed, when the Committee has been required for several years to file its reports electronically, and has done so for its other filings. For these reasons, I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violations were anything other than inadvertent and unintentional, and since this is the first set of A-1 violations for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$400. If these recommendations are accepted by the Board, the \$400 civil penalty will be due and owing. (As of 9/30/15, this Committee showed a funds available balance of \$16,651.53)



Tom Newman – Hearing Officer
November 2, 2015

State of Illinois)
County of _____)

STATE BOARD OF ELECTIONS

15 SEP 30 PM 1:48

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Thomas McNamara)
Respondent(s).)

Case No. 15A9030

APPEAL AFFIDAVIT

I, Christina Peacock, the Treasurer of the
(Name) (Chairman/Treasurer)
Friends of Thomas McNamara
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

The form was sent via fax on 5/18/15. Too much time has passed to access logs to verify on our end - the form that was faxed is attached. It was included in the quarterly report, which is when the error was caught by the Board. With the exception of our very first quarterly report, all other submissions were made in a timely manner.

Signed and Sworn to by:

Tonia J Howard

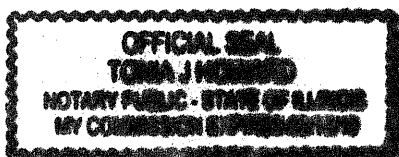
before me this 28th Day of

September, 2015

Tonia J Howard

Notary Public

Christina Peacock
(Signature of Chairman/Treasurer)



SCHEDULE A-1

REPORT OF CAMPAIGN CONTRIBUTIONS OF \$1000 OR MORE

FOR OFFICE USE ONLY

Full name and complete mailing address of Political Committee:

Friends of Thomas McNamara
1047 Harlem Blvd
Rockford, IL 61103

POLITICAL COMMITTEE
IDENTIFICATION No.

Candidate 25047

☐ CHECK IF AN ADDRESS CHANGE

SEE PAMPHLET "A GUIDE TO CAMPAIGN DISCLOSURE" FOR GUIDANCE.

Note: Schedule A-1 reporting requirements now apply throughout the calendar year.

This form must be filed within 5 business days after receipt of any contribution of \$1000 or more, or within 2 business days if that receipt was within 30 days or less before the date of an election and the political committee supports or opposes a candidate or public question on the ballot at that election or makes expenditures in excess of \$500 on behalf of or in opposition to a candidate(s), or a public question(s), on the ballot at that election by either:

1. **HAND DELIVERY** - to a State Board of Elections office (see bottom of form for addresses),
2. **FACSIMILE** - to (217)-557-5630 or (312)-814-6485. Please retain a confirmation transmission for your records,
3. **ELECTRONIC TRANSMISSION** - If this political committee is required to file its reports electronically, the Schedule A-1 must also be filed electronically.

Postal service or other mail services may be used. **CAUTION:** such services do not guarantee that the A-1 form will be received by our office prior to the deadline. **A POSTMARK IS NOT USED TO DETERMINE WHETHER AN A-1 FORM HAS BEEN TIMELY FILED.**

THESE CONTRIBUTIONS MUST ALSO BE REPORTED ON THE NEXT REGULARLY SCHEDULED FORM D-2 QUARTERLY REPORT, SCHEDULE A OR SCHEDULE I.

RECEIVED FROM:
FULL NAME, MAILING ADDRESS, AND ZIP CODE

DATE

AMOUNT

212 Northwestern Illinois Building & Construction
Trades Council - Political Action Committee
512 S First St Ste 101 Rockford, IL 61104

5/18/19

\$ 1000

William Charles Construction
5290 Nimitz Rd Loves Park, IL 61111

5/18/19

\$ 1000

UEP Investments I
134 N 1st St Rockford, IL 61107

5/18/19

\$ 1000

Laborer's Local 32 PAC
4477 Linden Rd Suite F Rockford, IL 61109

5/18/19

\$ 5000

\$

Christina Placcek

SIGNATURE OF TREASURER OR CANDIDATE

5/18/19

DATE

Name and address of person submitting this report if other than the committee's chairman or treasurer:

THE ILLINOIS STATE BOARD OF ELECTIONS IS REQUESTING DISCLOSURE OF INFORMATION THAT IS NECESSARY IF YOU QUALIFY AS A POLITICAL COMMITTEE AS OUTLINED UNDER PUBLIC ACT 78-1183. DISCLOSURE OF THIS INFORMATION IS REQUIRED. FAILURE TO PROVIDE ANY INFORMATION COULD RESULT IN A FINE UP TO \$5,000. THIS FORM IS IN COMPLIANCE WITH THE FORMS MANAGEMENT PROGRAM ACT

ALL POLITICAL COMMITTEES RETURN TO:

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd.
SPRINGFIELD, IL 62708-4187

OR

STATE BOARD OF ELECTIONS
JAMES R. THOMPSON CENTER
100 W RANDOLPH ST, STE 14-100
CHICAGO, IL. 60601-3232

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Friends of Thomas McNamara
1047 Harlem Blvd
Rockford, IL 61103-7128

ID# 25047

7015 1730 0002 1035 4698

Dear Friends of Thomas McNamara:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
UEP Investments	5/18/2015	\$1000	7/15/2015	35	\$500
Charles William LLC	5/18/2015	\$1000	7/15/2015	35	\$500
Laborer's Local 32 PAC	5/18/2015	\$5000	7/15/2015	35	\$2500
Northwestern Illinois Building and Construction	5/18/2015	\$1000	7/15/2015	35	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$4000.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

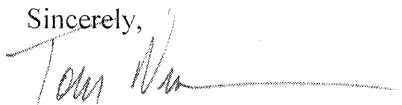
Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$400.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 JQ 104

Citizens to Elect John Clough ID# 25541
Respondent

REPORT OF HEARING OFFICER

Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2014 Quarterly Report

The Report was received by the Board on 8/7/15, 17 days late, resulting in a civil penalty assessment of \$1,275. Additionally, the Committee was previously assessed a \$200 civil penalty (not appealed, unpaid) for delinquent filing of the March 2015 Quarterly Report. The total assessment is \$1,475.

Melissa Burton, the Treasurer of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Ms. Burton states that the Committee had been inactive for several months following the March 2015 primary election. She says the plan was to close the Committee, but in putting together the Final Report, she discovered a \$380 discrepancy and while trying to find the cause and reconcile the accounts, her son became ill which resulted in a month-long series of hospital visits that distracted her from the report filing. The late June Quarterly Report occurred while the Committee was unable to file a Final Report because of the fund discrepancy. Additionally, the Committee was selected for a random audit and had difficulties finding an auditor to perform the review, adding to the Committee's problems.

The series of problems encountered by this Committee is truly unfortunate, but regrettably does not rise to the level of a defense for the late filing. I recommend the appeal be denied for lack of an adequate defense. If this recommendation is accepted by the Board, the \$1,475 civil penalty will be due and owing. However, since the Committee has now filed a Final Report, I further recommend that should the Committee remain dissolved for a period of two years following the date of the Final Board Order imposing the fine, and if no successor committee is formed during that time, the fine be abated. (As of 8/7/15, this Committee filed a Final Report showing a funds available balance of \$0)



Tom Newman – Hearing Officer
November 2, 2015

tate of Illinois)
)
ounty of: Cook)

STATE BOARD OF ELECTIONS
15 SEP 28 PM 3:17

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;)
)
ILINOIS STATE BOARD OF ELECTIONS,)
)
Complainant)
)
s.)
)
CITIZENS TO ELECT JOHN COUGH)
Respondent(s).)

Case No. 159Q104
15MQ133

APPEAL AFFIDAVIT

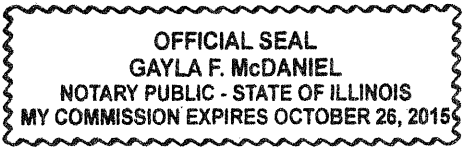
MELISSA G BURTON, the TREASURER of the
(Name) (Chairman/Treasurer)
CITIZENS TO ELECT JOHN COUGH
(Name of the Committee)

ommittee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good
ason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

ON MAY 11, 2015, THE BANK ACCOUNT ASSOCIATED WITH
THIS CAMPAIGN WAS CLOSED, WITH A MINIMAL
BAUNCE OF \$10.46. A BANK CHECK WAS ISSUED
TO JOHN COUGH FOR \$10.46, AS A PAYMENT ON
FUNDS HE LOANED THE COMMITTEE. THE ACCOUNT

Melissa G Burton
Signature of Chairman/Treasurer

ned and Sworn to by:
Melissa Burton
ore me this 23 Day of SEPT, 2015
ary Public Gayla F McDaniel
d)



DEADLINE.

I CALLED MR LEWIN AT THE BOE AND HE INSTRUCTED ME TO WRITE A LETTER (ATTACHED) STATING WHAT HAD HAPPENED. I SUBMITTED THE LETTER HOPING THAT THIS WOULD CLOSE THE BOE ACCOUNT ONCE & FOR ALL AND WE COULD BE DONE.

MY INTENT IS TO NO LONGER HAVE TO FILE, OR PAY ANY MORE FINES REGARDING THIS ACCOUNT AND FOR MR CLOUGH TO BE ABLE TO PROCEED FORWARD, IN THE FUTURE, WITH CAMPAIGNING IN ILLINOIS.

THE BANK ACCOUNT, AS PREVIOUSLY STATED, IS CLOSED AND WE HAVE SUBMITTED ALL NECESSARY REPORTS TO THE ILLINOIS BOE IN ORDER TO ZERO OUT AND ACTUALLY CLOSE THE CAMPAIGN ACCOUNT.

THIS IS MY MISTAKE, NOT MR CLOUGH'S, AND IF ANYONE MUST BE FINED, IT SHOULD BE ME.

I PRAY THAT THE BOARD TAKES MERCY ON US AT THIS TIME.

SINCERELY,

Melissa Bertr

HAS BEEN INACTIVE FOR SEVERAL MONTHS FOLLOWING THE MARCH 2014 PRIMARY.

THERE HAD BEEN REPORTS FILED SHOWING NO ACTIVITY ON THIS CAMPAIGN FOR QUITE SOMETIME. WE HAD DECIDED TO CLOSE THE ACCOUNT AND UPON FILING WHAT WAS SUPPOSED TO BE THE FINAL REPORT, DISCOVERED A (APPROX) \$380.00 DISCREPANCY. I SEARCHED FOR THE DISCREPANCY IN TRYING TO RECONCILE THE ACCOUNT, AT WHICH TIME MY 3-YEAR-OLD SON BECAME ILL, WHICH RESULTED IN A NECESSARY MONTH-LONG SERIES OF VISITS TO CHILDRENS. I, REGRETFULLY, PUT THE CAMPAIGN ACCOUNT OFF OF THE HIGH PRIORITY LIST.

NOT LONG AFTER I RECEIVED NOTICE THAT THIS ACCOUNT HAD BEEN RANDOMLY SELECTED FOR AN AUDIT. WITH THE ACCOUNT HAVING A "ZERO-BALANCE", I WAS GOING TO PERSONALLY PAY MY CPA TO DO THE AUDIT. BUT AFTER SUBMITTING HIS NAME FOR APPROVAL, HE INFORMED ME THAT HE COULD NOT DO THE AUDIT DUE TO CONFLICT. IN SEARCHING FOR SOMEONE ELSE TO DO THE AUDIT, I CAME UP EMPTY-HANDED... RUNNING INTO THE

June 28, 2015

John Levin
Illinois Board of Elections
2329 S. MacArthur Blvd
Springfield, IL 62704-4503

Re: Audit and Quarterly Reports for Citizens to Elect John Clough ID#25541

Dear Mr. Levin,

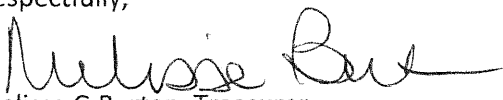
I have spoken to you on a couple of occasions regarding the abovementioned campaign. We were selected to do the audit for this account and I chose and submitted my form for choosing the auditor, Larry Nichols of Doebling, Winders & Co. of Mattoon, IL. After talking with Larry regarding this audit, he informed me that he, in fact, could not do the audit as it was a conflict with his company. This conversation took place on June 8, 2015. We left for a vacation on June 8th and did not return until June 16th. I then had to leave for Children's Hospital in St. Louis with my youngest son on the 17th of June until the 21st. Since then, I have been unable to find a replacement auditor for this audit, which has a deadline of July 1, 2015.

I have all of the necessary documents to do this audit, I am just lacking an auditor to do this for us. The bank account in question related to this campaign is closed with a zero balance, and the campaign has no funds at this time. Mr. Clough does intend to run for office again in 2018, but the campaign will not reopen until that time. We have also been assessed a \$200.00 fine for failure to file the Quarterly report, for an account that I cannot close on the website due to a \$386.00 discrepancy that I cannot find.

At this time, I am at a loss as to what I need to do to get this audit done, get this fine taken care of and be finished with this campaign account until the possible future 2018 campaign. I am willing to turn over all documents necessary to the Board of Elections, so that we may close this account and be done.

Please advise as to what I need to do.

Respectfully,


Melissa G Burton, Treasurer
217-254-3036

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR

Steven S. Sandvoss

August 28, 2015

ID# 25541

Citizens to Elect John Clough
Melissa Burton
PO Box 207, 1618 Broadway
Mattoon, IL 61938

7015 1730 0002 1035 4032

Dear Citizens to Elect John Clough:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2015 through June 30, 2015
Filing Period:	July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 8/7/2015, 17 day(s) late. As such, this committee has been assessed a fine of \$1275.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2015 March	Quarterly	\$200
TOTAL AMOUNT NOW DUE		\$1475.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

Tom Newman, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

15 JQ 136

Friends of LaShonda "Shonnie" Curry 27249
Respondent

REPORT OF HEARING OFFICER
Appeal of Civil Penalty Assessment for Delinquent Filing of the
June 2015 Quarterly Report

The Report was received by the Board on 7/28/15, 9 days late, resulting in a civil penalty assessment of \$225. The total assessment is \$225.

The candidate, LaShonda Curry appeared at the October 29th appeal hearing.

Ms. Curry stated that both her treasurer, Heather Sherman, and she had several conversations with representatives from the State Board of Elections for assistance with completing and amending their quarterly reports. However, each time the Committee attempted to add new data in an effort to correct the report the balance remained wrong. Ms. Sherman stated on the appeal affidavit that it took weeks to finally get the report correctly amended and this was achieved through trial and error. Only after correcting the 1st report could the subsequent report be filed and this delayed the Committee in filing the 2nd report. Ms. Sherman stated on the appeal affidavit that the Committee worked really hard to comply with all of the requests being made by staff to correct the reports in a timely fashion. Ms. Sherman also stated that it has been challenging understanding and navigating the nuances of the reporting process and requests the Committee be granted a reprieve.

Ms. Curry requested that I give an overview of the Committee's filings in my report therefore I am going to attempt to provide an outline of the issues she was having with a negative balance and an amendment that was on their reports screen that prevented the Committee from being able to file the June of 2015 Quarterly Report in a timely manner.

The Committee filed the Statement of Organization on 12/2/14 and reported they had a funds available balance of \$0 as of the Committee's creation date. The Committee amended the Statement of Organization on 1/14/15 and changed the creation amount to \$5,352.89. On that same day the Committee filed the December of 2014 Quarterly Report however they showed a funds available balance of \$0 at the start of the reporting period and the report showed a funds balance of -\$2,975.21 at the close of the reporting period. After consulting with staff the Committee determined that the correct funds available should have been \$4,983.59 and an amended Statement of Organization was filed on 1/23/15. The Committee did not amend the December of 2014 Quarterly Report prior to filing the March of 2015 Quarterly Report on 4/13/15. Therefore, the March of 2015 Quarterly Report showed a funds available balance of -\$2,975.21 at the beginning of the reporting period and it showed an ending balance of

-\$8,294.10. The Committee amended the December of 2014 Quarterly Report on 6/2/15 and changed the beginning balance to match the amount they previously reported back in January as having, \$4,983.59. The ending balance on the amended quarterly report shows a funds available at the close of the reporting period of \$2,008.38. The problem that the Committee described they would have ran into next was that the IDIS system automatically would have created an amendment to the following reporting period (March of 2015 Quarterly) because the new balance needed to be carried forward into the reporting period. In addition the Committee at some point in time added in an additional \$7,110 of receipts into the reporting period. Therefore, when the Committee wanted to file the June of 2015 Quarterly Report the system would not let them file the report because changes had been made to the 1st Quarter of 2015 that needed to be reported because the amendment changed the Committee's balance by more than \$12,000.

Based on the outline I have provided I believe it is apparent the Committee had some issues with getting their reports to correspond with their financial transactions. However, I believe the failure to correct their reports in a timely manner is what ultimately caused the report to be delinquent rather than an electronic filing defense. The Committee was aware of the beginning balance issue in January of 2015 however the Committee did not correct the issue in the IDIS system until June of 2015. By that time the Committee had already filed the next report which was due, the 1st Quarter of 2015. The report the Committee filed for that reporting period showed they had a funds available at the close of the reporting period of -\$8,294.10. Therefore, once the Committee straightened out the balance issue during the December of 2014 Quarterly Report they also had to amend the March of 2015 Quarterly Report to correct the balance issue going forward. In addition the Committee at some point in time added in more than \$7,000 in receipts during the 1st Quarter of 2015 that had to be reported. However, for whatever reason the Committee was not able to amend the 1st Quarter of 2015 Quarterly Report between the time they filed the 4th Quarter of 2014 (6/2/15) and the last day to file the next report (7/15/15). The Respondent essentially blames their delinquent filing on the amended report they did not file for the 1st Quarter of 2015. The IDIS system requires reports to be filed in date order and since the Committee had an earlier report on their reports screen that they did not file they were prevented from filing that report until they filed the prior report. The amendment and the quarterly report were filed on 7/28/15. It is the opinion of the Hearing Officer that if the Committee would have been a little more diligent in getting the amended reports filed then the Committee would not have had an issue when it came to time to file the June 2015 Quarterly Report. It took the Committee 5 ½ months to amend the December Quarterly Report when they knew the report did not match their records and it took them more than 3 months to amend their March Quarterly Report when they knew the information they had previously reported was not correct. I recommend the appeal be denied. If this recommendation is accepted by the Board a civil penalty of \$225 will be assessed however as a first violation the penalty will be stayed. (The Committee filed a Final Report on 10/29/15.)



Andy Nauman – Hearing Officer

November 2, 2015

State of Illinois)
County of Cook)

STATE BOARD OF ELECTIONS

15 SEP 30 PM 1:48

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Lashonda Shonnie Curry)
Respondent(s).)

Case No. 1500136

APPEAL AFFIDAVIT

I, Heather Sherman, the Chairman of the
(Name) (Chairman/Treasurer)

Friends of Lashonda Shonnie Curry

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

See attached communication for reasons for appeal.

Signed and Sworn to by:

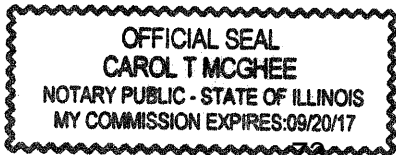
before me this 28 Day of

September, 2011 2015

Carol McGhee

Notary Public

Heather A Sherman
(Signature of Chairman/Treasurer)



Illinois State Board of Elections
2329 S. MacArthur Blvd.
Springfield, IL 62704

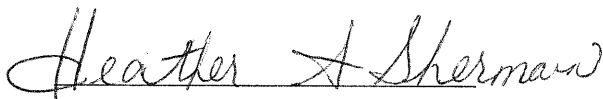
To Whom It May Concern:

I am writing this communication requesting that the penalty be rescinded for the following reasons.

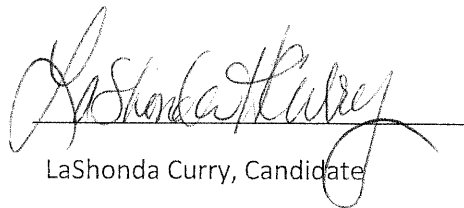
I spoke with several representatives from the State Board Elections for assistance with completing and amending the last quarterly report. I phoned in several times and was given guidance for amending the report, however each time that I attempted to add new data in effort to correct the report, the balance remained the same. It took weeks to finally get the report correctly amended and this was achieved through trial and error. Only after the correcting the 1st report could the subsequent report be completed, therefore the completion of the 2nd report was delayed. When I phoned in to the BOE, I was told that the committee had not been assessed a fee and would not if the amendment was received. The committee also received correspondence indicating that a fee would not be assessed if the error was corrected.

Our committee has worked really hard to comply with all requests in a timely fashion, especially in cases where no systematic obstacles were present. I spoke with a Kaitlynn Carter regarding similar request for the reporting period. I complied with her reporting request date 8/10/15. It has been challenging understanding and navigating the nuances of the reporting process and I really wish that the BOE would offer some form of training for political committees, especially the novice. I am asking that the committee, Friends of LaShonda "Shonnie" Curry, be granted a reprieve.

Thank You,



Heather Sherman, Treasurer



LaShonda Curry, Candidate

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd., P.O. Box 4187
Springfield, Illinois 62708-4187
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015

Friends of LaShonda "Shonnie" Curry
PO Box 178044
Chicago, IL 60617

ID# 27249

7014 3490 0000 5044 7185

Dear Friends of LaShonda "Shonnie" Curry:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type: June Quarterly Report of Campaign Contributions and Expenditures
Report Period: April 1, 2015 through June 30, 2015
Filing Period: July 1, 2015 through July 15, 2015


Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received by the Board on 7/28/2015, 9 days late. As such, this committee has been assessed a fine of \$225.00.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**

Since this is a first time violation, the assessed civil penalty will be *stayed*. Any subsequent violation of Article 9 of the Election Code or of a Board Order may result in the assessment of an additional civil penalty as provided in Section 125.425 of the Rules and Regulations. If that subsequent violation is one which results in the assessment of a civil penalty, that penalty, as well as the civil penalty previously stayed, shall become due and owing. **Therefore, you need not pay this assessed civil penalty unless another violation occurs.**

If you have questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,


Tom Newman, Director
Campaign Disclosure Division

SS: lm
Enclosure(s): appeal packet

STATE OF ILLINOIS
COUNTY OF SANGAMON

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

v.

15 AJ 026

Citizens for Matt Bogusz ID# 21498
Respondent

REPORT OF HEARING OFFICER

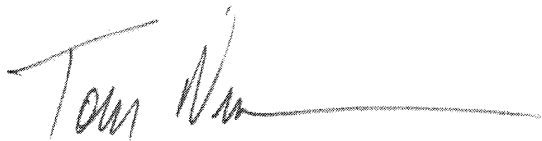
Appeal of Civil Penalty Assessment for Failure to File Schedule A-1 Reports

The Committee received two \$1,000 contributions on 5/4/15, and failed to report these on a Schedule A-1, resulting in a civil penalty assessment of \$1,000.

Matt Bogusz, the Candidate of the Committee, filed a Waiver of Appearance and submitted on the Appeal Affidavit the following:

On the Affidavit, Mr. Bogusz states that one of the \$1,000 contributions, from Ozinga Ready Mix Concrete Inc. was reported on a Schedule A-1 filed with the Board on 5/5/15, although showing a date of receipt of 5/2/15, rather than 5/4/15 as reported on the Committee's original Quarterly Report. He says the correct date of receipt was 5/2/15, there was no 5/4/15 contribution, and the Quarterly Report should be updated to reflect the correct date. Mr. Bogusz offers no defense in regards to the second \$1,000 contribution.

The Committee did file an A-1 on 5/5/15, showing the Ozinga Ready Mix Concrete contribution as being received on 5/2/15. It appears the Committee was assessed for this contribution only because it appeared that the contribution shown on the Quarterly Report was actually a second, separate contribution. Based on Mr. Bogusz' explanation, I recommend the appeal in regards to this contribution be granted. However, I also recommend the Committee be ordered to amend its June 2015 Quarterly Report to reflect the correct date of receipt for the contribution, with the amendment to be filed within 30 days of the date of the Final Board Order in this matter. In regards to the second \$1,000 contribution, I recommend the appeal be denied for lack of an adequate defense. However, since there is no indication the violation was anything other than inadvertent and unintentional, and since this is the first A-1 violation for this Committee, I recommend the penalty be reduced to 10% of the original assessment, or \$100. As a first violation, the penalty is stayed. (As of 9/30/15, this Committee showed a funds available balance of \$14,938.36)



Tom Newman – Hearing Officer
November 2, 2015

te of Illinois

nty of: COOK

STATE BOARD OF ELECTIONS

15 SEP 28 PM 1:54

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

THE MATTER OF;

INOIS STATE BOARD OF ELECTIONS,

Complainant

ID# 21498

Aug 28, 2015 letter

Case No. 13A9026

Citizens for Matt Bogusz

Respondent(s).

APPEAL AFFIDAVIT

Matt Bogusz, the

Candidate

of the

(Name)

(Chairman/Treasurer)

Citizens for Matt Bogusz

(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

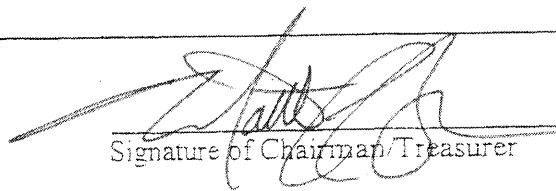
The \$1,000 contribution from Ozinga Ready Mix Concrete Inc.

referenced in the ISBE letter was filed with ISBE on 5/5/15

as an A2 (received on 5/2/15). There was no 5/4 contribution

and the quarterly report should be updated to reflect the 5/2

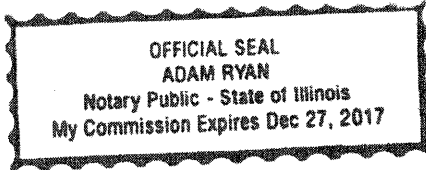
received and 5/5 filing date.


Signature of Chairman/Treasurer

ed and Sworn to by:

me this 24 Day of Sept, 2015

ry Public



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss
August 28, 2015

BOARD MEMBERS
Charles W. Scholz, Chairman
Ernest L. Gowen, Vice Chairman
William J. Cadigan
Andrew K. Carruthers
Betty J. Coffrin
John R. Keith
William M. McGuffage
Casandra B. Watson

Citizens for Matt Bogusz
990 W Villa Dr
Des Plaines, IL 60016-6241

ID# 21498

7015 1730 0002 1035 4674

Dear Citizens for Matt Bogusz:

This committee has failed to timely report the following contributions of \$1000 or more (Schedule A-1) as required by the Illinois Campaign Disclosure Act:

<u>Contributed By</u>	<u>Date of Contribution</u>	<u>Amount of Contribution</u>	<u>Date Reported</u>	<u>Days Late</u>	<u>Fine Assessed</u>
Laborers Local 1	5/4/2015	\$1000	7/15/2015	45	\$500
Ozinga Ready Mix Concrete, Inc.	5/4/2015	\$1000	7/15/2015	50	\$500

As required by the Illinois Campaign Disclosure Act and the changes enacted in 2011, as amended by Public Act 96-832, your committee is subject to a fine of \$1000.00 for the delinquent filing. This total **does not** reflect any previously assessed fines.

Under the Board's present policy, since this is the first delinquent Schedule A-1 filing by your committee, the civil penalty will be automatically reduced to \$100.00, (10% of the above referenced fine amount) regardless of whether you choose to file an appeal. The reduced amount will be imposed and due with the issuance of a Final Board Order after the 30-day appeal period has expired. This amount must be paid, including any previously assessed fines, within 30 days of the issuance of the Order.

Enclosed, please find Section 125.425 *Civil Penalty Assessments* and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. **Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.**


Since this is a subsequent violation, if the above listed violation is not appealed, the previously stayed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

<u>Reporting Period</u>	<u>Report Type</u>	<u>Previous Fine Amount</u>
TOTAL AMOUNT NOW DUE		\$100

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by Mastercard, Discover or American Express, for an additional fee.

If you have any questions regarding the appeal procedure, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in dark ink, appearing to read "Tom Newman", with a long horizontal flourish extending to the right.

Tom Newman, Director
Campaign Disclosure Division

SS: lm

Enclosures: appeal packet

STATE OF ILLINOIS
COUNTY OF COOK

BEFORE THE STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

Illinois State Board of Elections
Complainant

Vs.

15 JQ 137

Friends of Steven McClellan 27256
Respondent

REPORT OF HEARING OFFICER

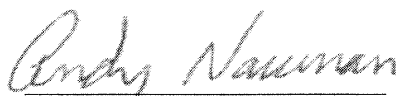
Appeal of Civil Penalty Assessment for Delinquently Filing the
June 2015 Quarterly Report

The Report was received by the Board on 7/20/15, 3 days late, resulting in a civil penalty assessment of \$225. In addition, this Committee had previously been assessed a \$25 civil penalty (not appealed, not paid) for delinquently filing the December 2014 Quarterly Report; and a \$150 civil penalty (not appealed, not paid) for delinquently filing the March 2015 Quarterly Report. The total assessment is \$400.

Steven McClellan, the candidate, appeared at the November 2nd appeal hearing.

Mr. McClellan stated that he never raised or spent any money on behalf of his candidacy for alderman and as such he was not required to file as a political committee. Mr. McClellan ran as a write in-candidate for Chicago Alderman because his petitions did not survive the objection process. Furthermore, Mr. McClellan stated that he knows he logged into the IDIS system on Thursday, July 16th and he thought he went through the entire process to file the quarterly report but apparently he had not. It was not until July 20th that he realized he had not filed the report when his treasurer informed him that the report was not filed. Mr. McClellan said he called the State Board of Elections on that day and with staff assistance he was walked through the upload process.

I commend Mr. McClellan on his honesty in admitting that he attempted to file the report electronically one day late. With that being said I recommend the appeal be denied since the report was not timely filed. However, since the Committee attempted to file the report electronically on July 16th as per Mr. McClellan's testimony I also recommend that the Committee be granted an electronic filing defense for two of the days the report was filed late. Therefore, I recommend the assessment to be \$75 for being one day late. This recommendation is being made to be consistent with previous Board decisions regarding electronic filing defenses and since this defense has not previously been used by the Committee. However, since the Committee now knows how to file a report, it is unlikely the Board would look favorably upon this type of defense a second time. If this recommendation is accepted by the Board a civil penalty of \$250 will be due and owing (\$75 for the June 2015 Quarterly Report + \$25 for the December 2014 Quarterly Report + \$150 for the March 2015 Quarterly Report). (As of 9/30/15, this Committee showed a funds available balance of \$0.)



Andy Nauman – Hearing Officer

November 2, 2015

CHICAGO

2015 SEP 28 PM 2:10

STATE BOARD OF ELECTIONS

State of Illinois)
County of Cook)

BEFORE THE STATE BOARD OF ELECTIONS
OF THE STATE OF ILLINOIS

IN THE MATTER OF;)
ILLINOIS STATE BOARD OF ELECTIONS,)
Complainant)
Vs.)
Friends of Steven McClellan)
Respondent(s).)

Case No. 1590137
#27256

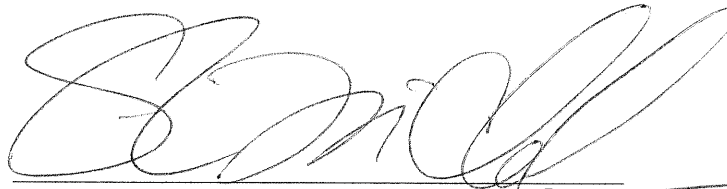
APPEAL AFFIDAVIT

I, Steven McClellan, the Chairman of the
(Name) (Chairman/Treasurer)
Friends of Steven McClellan
(Name of the Committee)

Committee, first being duly sworn, deposes and states that he/she represents that the said committee can offer a good reason or defense to the assessment of a civil penalty in this matter, and that such reasons and defenses are:

Every visit to the website seems to have failed. My first report wasn't logged & I called about the matter. The rep helped me by identifying the issue and allowing me to proceed. The last offense had the same outcome because I called about it & the rep told me the same resolution & to appeal anything sent from Illinois Board of Elections.

Signed and Sworn to by:
Steven McClellan
before me this 28 Day of
September, 2015
Andy Nauman
Notary Public


(Signature of Chairman/Treasurer)



STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

2329 S MacArthur Blvd.
Springfield, Illinois 62704
217/782-4141
Fax: 217/782-5959

James R. Thompson Center
100 West Randolph, Suite 14-100
Chicago Illinois 60601
312/814-6440
Fax: 312/814-6485



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Charles W. Scholz, Chairman
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Casandra B. Watson

EXECUTIVE DIRECTOR
Steven S. Sandvoss

August 28, 2015

ID# 27256

Friends of Steven McClellan
Steven McClellan
1800 N. Hudson
Chicago, IL 60614

7015 1730 0002 1035 4063

Dear Friends of Steven McClellan:

As you have been previously notified, this committee failed to file the following document during the requisite filing period:

Report Type:	June Quarterly Report of Campaign Contribution and Expenditures
Report Period:	April 1, 2015 through June 30, 2015
Filing Period:	July 1, 2015 through July 15, 2015

Based upon this committee's failure to comply with the provision of 10 ILCS 5/9-10(b) of the Election Code, it has been assessed a civil penalty for each day this report remained unfiled. According to Board records, this report was received on 7/20/2015, 3 day(s) late. As such, this committee has been assessed a fine of \$225.00.

Enclosed, please find Section 125.425 Civil Penalty Assessments and the forms with which you may appeal the assessed fine if you believe the civil penalty has been assessed in error. *Notice of Appeal must be filed within 30 days of the date of this assessment notice. If you fail to file a Notice of Appeal by September 28, 2015 you forfeit the right to contest this assessment.*

Since this is a subsequent violation, if the above listed violation is not appealed, the previously assessed fine(s) for delinquent filing listed below must also be paid by the committee within 30 days of the issuance of the Final Board Order:

Reporting Period	Report Type	Previous Fine Amount
2014 December	Quarterly	\$25
2015 March	Quarterly	\$150
TOTAL AMOUNT NOW DUE		\$400.00

If you do not appeal this assessment, you must pay your fine within 30 days of the Final Board Order. However, you may also elect to pay the fine at this time. You may mail or deliver payments to the State Board of Elections, Attn: Campaign Disclosure, 2329 S MacArthur Blvd., Springfield, IL 62704. You may also pay by MasterCard, Discover, or American Express, for an additional fee.

If you have any questions regarding the appeal process, please call Laura Marbold at 217-782-1543.

Sincerely,

A handwritten signature in black ink, appearing to read "Tom Newman", is written over a horizontal line.

Tom Newman, Director,
Campaign Disclosure Division

SS: lm

Enclosure(s): appeal packet

STATE OF ILLINOIS)
) SS
COUNTY OF COOK)

STATE BOARD OF ELECTIONS
STATE OF ILLINOIS

In the Matter Of:)
State Board of Elections)
Complainant(s),)
)
Vs.) Case No. 14 CD 113
)
Friends of Sherman C Jones)
Respondent(s).)

ORDER

TO: Friends of Sherman C Jones ID #22764
 PO Box 6362
 Broadview, IL 60155-6362

This matter coming to be heard this 9th day of October, 2015 due to the failure of the Committee to comply with a Board Order previously issued under Article 9 of the Illinois Election Code (10 ILCS 5/9-1 *et. seq.*), and the State Board of Elections now being fully advised in the premises,

THE BOARD FINDS:

1. The Committee did not comply with the Final Order dated June 18, 2015 commanding such committee to conduct an audit of its financial records , with an auditor to be selected within 10 days and the audit completed within 60 days of the effective date of the Order, pursuant to “An Act to Regulate Campaign Financing” (Illinois Compiled Statutes, 10 ILCS 5/9-1 *et seq.*, herein referred to as the “Act”); and
2. Counsel for the committee appeared before the Board and requested an additional 30 days in which to complete the audit of its financial records and file the required reports.

IT IS ORDERED:

1. The request for continuance is accepted by the Board and the matter is continued to the November 16, 2015 meeting of the State Board of Elections; and
2. The committee file its final and best offer of documents and/or reports no later than the close of business on November 9, 2015, and
3. The effective date of this Order is October 14, 2015.

DATED: 10/14/2015


Charles W. Scholz, Chairman

STATE BOARD OF ELECTIONS
2329 S. MacArthur Blvd
Springfield, Illinois 62708
217/782-4141

Tom Newman

Director, Campaign Disclosure Division

To: Steven S. Sandvoss, Executive Director, Members of the Board

Re: Payment of Civil Penalty Assessments - Informational

Date: November 3, 2015

The following committees have made payment of outstanding civil penalties for the period 9/29/2015 - 11/03/2015

- Friends of Jeff Smith - \$75.00
- Jeff Smith for State Representative - \$200.00
- Friends to Elect Judge Caroline Kate Moreland - \$600.00
- Friends of Lisa L. Evans - \$275.00
- Wood River Twp Democratic Precinct Committeemen's Org - \$800.00
- Wright for Logan County States Attorney - \$425.00
- For Homer Glen Mayor, Clerk, Trustee - \$300.00
- Friends of Robyn Gabel - \$800.00
- Indo America Democratic Org. - \$200.00
- Citizens for Hutchinson - \$425.00
- Citizens for Tim Bradford - \$700.00
- Rich Township Democratic Org - \$300.00
- Friends for Brian Garner - \$286.45
- East St. Louis Republican Central Cmte - \$600.00
- 44th Ward GOP, Inc. - \$100.00
- Citizens to Elect Scott M. Davis - \$75.00
- Friends of Dan Irving - \$150.00
- Democrats for Education Reform - \$1250.00
- Illinois Republican Party - \$7700.00
- Kane County Conservative Coalition - \$250.00
- Holland & Knight LLP - \$400.00
- Citizens to Elect Deborah L. Graham - \$500.00
- Friends of Rita Mayfield - \$1452.00
- Citizens for Rauner - \$27,458.00
- Macon County Democratic Central Committee - \$1000.00
- Friends for Figg - \$550.00 (\$12.93 credit card fee)
- Slocum for Senate - \$1237.50
- Friends of John Fulgenzi - \$150.00
- Friends of Kevin Lower - \$150.00
- 47th Ward Regular Democratic Organization - \$300.00
- Sheet Metal Workers' International Local Union 73 - \$375.00
- Brian Hopkins for Chicago - \$500.00
- Friends of Kankakee County Schools - \$325.00
- Illinois Now PAC - \$175.00
- Friends of Litesa Wallace - \$550.00 (\$12.93 credit card fee)

TOTAL : \$50,633.95

Monthly Total:

01/09/15 to 2/6/15: \$85,439.85
2/7/15 to 3/4/15: \$1788.00
3/5/15 to 4/9/15: \$30,077.31
4/10/15 to 5/6/15: \$24,458.00
5/7/15 to 6/3/15: \$16,103.50
6/4/15 to 8/5/15: \$52,464.60
8/6/15 to 9/9/15: \$11,221.00
9/10/15 to 9/29/15: \$34,214.03
9/30/15 to 11/1/15: \$50,633.95

Year to Date Total: \$306,400.24

FIRST DAY FILING ASSIGNMENTS - NOVEMBER 23

Assignment	Staff	Location	Time
Filing Line (Headset) (Economic Interest Statement) (End of line for 8:00 a.m. filers)	Ken Menzel Kyle Thomas Rick Fulle IL State Police	Outside	6:30 a.m.
Traffic Control	Ryan Turner	Board Room Entrance	6:30 a.m. – 7:45 a.m.
Economic Interest Station	Renee Paine (SOS staff) Debra Steller (SOS staff)	Board Room	7:00 a.m.
Traffic Control	Mike Roate Jim Tenuto Shawn Begalka IL State Police Vera Bolden	Board Room Entrance Inside Board Room North Door Exit North Door Exit West Door Exit	7:45 a.m. 6:30 a.m.
Security	Jason Hinds	Hallway to Main Building	6:30 a.m.
Coding and Time Stamping	Clayton Nicholson Heather Kimmons Jeremy Kirk Andy Nauman Dustin Schultz Brie Urbanec Amy Evans Kaitlynn Carter Bernadette Harrington Gary Nerone	Station One Station Two Station Three Station Four Station Five Station Six Station Seven Station Eight Station Nine Station Ten	7:45 a.m.
Data Entry and Receipting	Sue Klos Maggie Jasinski John Levin Laura Marbold Kay Walker Becky Glazier Erica Christell Tom Newman Amy Kelly Amy Calvin	Station One Station Two Station Three Station Four Station Five Station Six Station Seven Station Eight Station Nine Station Ten	7:45 a.m.
IT Support	Kevin Turner (w/ headset) Kim Watkins	Board Room	7:30 a.m.
Petition Transport	Mike Heap Ryan Turner John Oldfield	Board Room	8:00 a.m.
Petition Storage and Filing	Mickey Reinders Jamy Sims Cheryl Hobson	Room 124	7:45 a.m.
Receipt Correction	Kay Walker Kim Watkins		

Assignment	Staff	Location	Time
Backup Coders/Time Stamping	Bruce Brown Adam Hager Chris Hager John Oldfield Linda Wentz		
Backup Data Entry/Receipting	Adam Hager Chris Hager Mike Montney John Oldfield Kim Patrick		
Counting and Assembly of Original Petitions	Vera Bolden Bruce Brown Cheryl Hobson Heather Kimmons Laura Marbold Kim Patrick Mickey Reinders Kay Walker Amy Calvin Sue Klos Katie Miller Sherry Young	Scanning Stations	11:00 a.m. – 10:00 p.m. 11:00 a.m. – 4:30 p.m. 11:00 a.m. – 7:00 p.m. 4:30 p.m. – 10:00 p.m.
Scanning Original Petitions	Shawn Begalka Matt Emmons Kaitlynn Carter Chris Hager Maggie Jasinski Mike Montney John Oldfield Dustin Schultz Jamy Sims Adam Hager Jason Hinds	Scanning Stations	11:00 a.m. – 9:00 p.m. 11:00 a.m. – 10:00 p.m. 11:00 a.m. – 6:30 p.m.
Petition Photography	Brieanna Urbanec Linda Wentz	Outside Room 124	11:00 a.m. - 10:00 p.m.
Scanning and Petition Management	Gary Nerone Brent Davis	Scanning Stations	11:00 a.m. - 10:00 p.m.
Filling Orders	Erica Christell Amy Evans	Board Room	1:00 p.m. – 10:00 p.m.
Floater	Bernadette Harrington Amy Kelly	Board Room Room 124 Scanning Stations	11:00 a.m. – 10:00 p.m.

NOVEMBER 24 ASSIGNMENTS

Assignment	Staff	Location	Time
Counting and Assembly of Original Petitions	Vera Bolden Cheryl Hobson Maggie Jasinski Heather Kimmons Linda Wentz	Scanning Stations	8:00 a.m. - 5:00 p.m.
Scanning Original Petitions	Shawn Begalka Chris Hager Mike Montney John Oldfield Dustin Schultz Brieanna Urbanec	Scanning Stations	8:00 a.m.-5:00 p.m.
Petition Proofing	Amy Kelly Jamy Sims Kay Walker	Room 124	9:00 a.m. - ????
Scanning and Petition Management	Gary Nerone Brent Davis	Scanning Stations	8:00 a.m.-5:00 p.m.
Filling Orders	Erica Christell Amy Evans	Board Room	8:00 a.m.-5:00 p.m.

**NOVEMBER 25 – ALL ASSIGNMENTS PERFORMED BY ELECTION OPERATIONS STAFF
AND ERICA CHRISTELL**

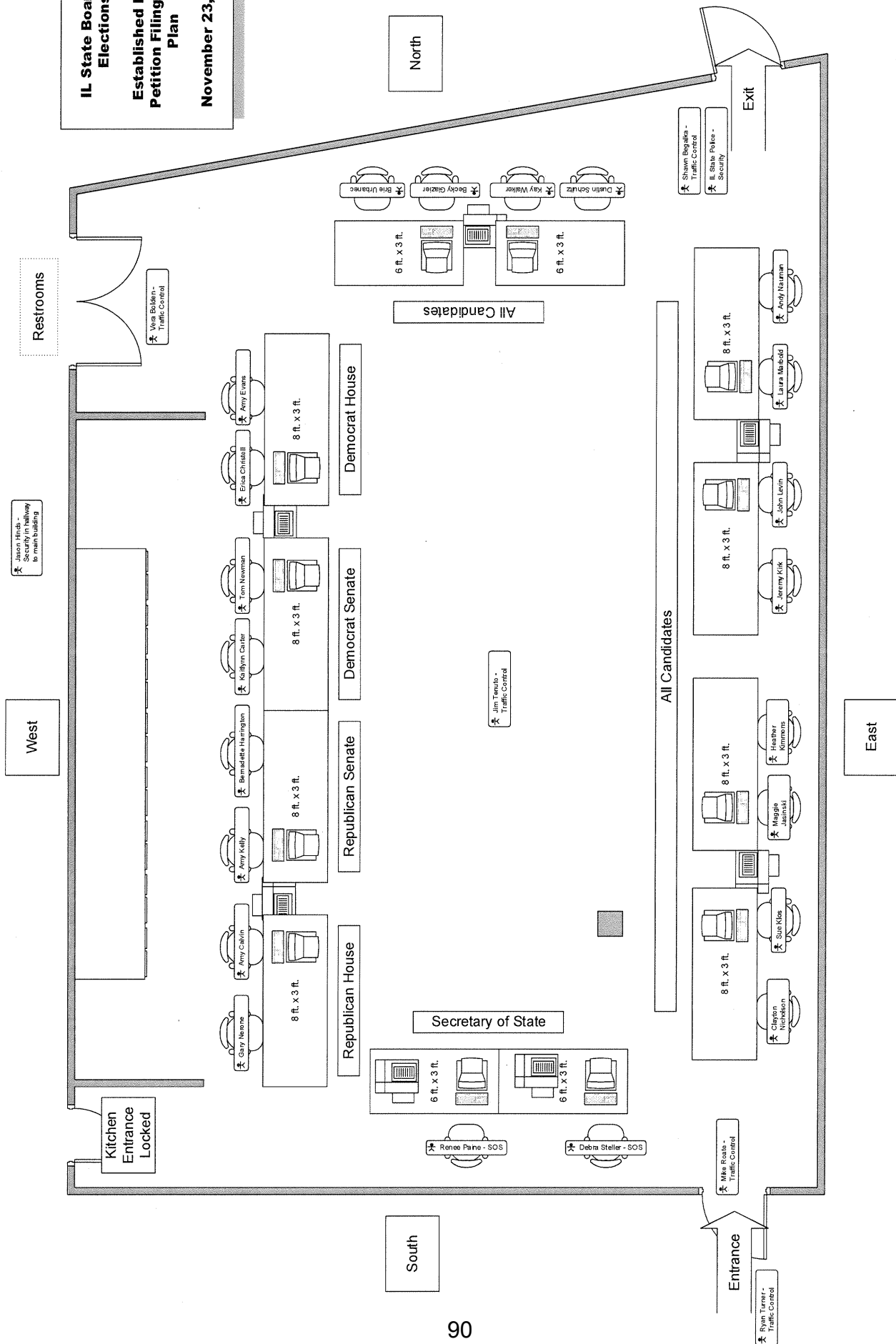
LAST DAY OF FILING ASSIGNMENTS – NOVEMBER 30

Assignment	Staff	Location	Time
Economic Interest Station	Renee Paine (SOS staff)	Board Room	3:00 p.m. - 5:00 p.m.
Traffic Control	Vera Bolden	Board Room Entrance	3:00 p.m. - 5:00 p.m.
Coding and Time Stamping	Amy Kelly Jamye Sims	Board Room	3:00 p.m. - 5:00 p.m.
Data Entry and Receipting	Sue Klos Kay Walker	Board Room	3:00 p.m. - 5:00 p.m.
IT Support	Kevin Turner	Board Room	3:00 p.m. - 5:00 p.m.
Petition Photography	Linda Wentz	Room 124	3:00 p.m. - 7:00 p.m.
Counting and Assembly of Original Petitions	Laura Marbold Katie Miller Mickey Reinders Kim Patrick Brieanna Urbanec Amy Calvin Cris Cray Sherry Young Vera Bolden Jamye Sims	Scanning Stations	3:00 p.m. - 7:00 p.m. 3:00 p.m. – 4:30 p.m. 4:30 p.m. – 7:00 p.m. 5:00 p.m. – 7:00 p.m.
Scanning Original Petitions	Shawn Begalka Kristy Boggs Matt Emmons Chris Hager John Oldfield Jordan Wirth Adam Hager Amy Kelly	Scanning Stations	3:00 p.m.-7:00 p.m. 5:00 p.m. – 7:00 p.m.
Scanning and Petition Management	Gary Nerone	Scanning Stations	8:00 a.m.-7:00 p.m.
Filing Orders, Back Up Coding and Data Entry, Floaters	Erica Christell Amy Evans	Board Room	8:00 a.m.-7:00 p.m.

**IL State Board of
Elections**

**Established Party
Petition Filing Floor
Plan**

November 23, 2015



Election Judge Training

2016 GP

Date	Jurisdiction and Zone	Schools	Presenter(s)	Attendance
2/2/2016	BOONE (4)	2	Davis	0
2/3/2016	BROWN (2)	1	Kelly	0
2/4/2016	SALINE (1)	3	Sims	0
2/9/2016	COLES (3)	3	Kelly	0
2/9/2016	CRAWFORD (1)	2	Sims	0
2/10/2016	RICHLAND (1)	2	Sims	0
2/11/2016	LAWRENCE (1)	2	Sims	0
2/11/2016	CASS (2)	2	Kelly	0
2/16/2016	CALHOUN (2)	2	Kelly	0
2/18/2016	CITY OF GALESBURG (2)	3	Kelly	0
2/18/2016	FAYETTE (1)	2	Sims	0
2/18/2016	VERMILION (3)	3	Davis	0
2/23/2016	JERSEY (2)	3	Kelly	0
2/24/2016	MORGAN (2)	3	Kelly	0
2/24/2016	JACKSON (1)	2	Sims	0
2/25/2016	MORGAN (2)	1	Kelly	0
2/25/2016	JACKSON (1)	2	Sims	0
2/25/2016	SCOTT (2)	1	Kelly	0
2/29/2016	MENARD (2)	2	Kelly	0
3/1/2016	EFFINGHAM (1)	3	Davis	0
3/2/2016	MONTGOMERY (2)	4	Kelly	0
3/3/2016	IROQUOIS (3)	3	Davis	0
3/4/2016	IROQUOIS (3)	1	Davis	0
3/8/2016	GREENE (2)	3	Kelly	0

STATE BOARD OF ELECTIONS



From the desks of... Kyle Thomas

Director of Voting and Registration Systems

Phone: 217-782-1590

Email: kthomas@elections.il.gov

Kevin Turner

Director of Information Technology

Phone: 217-782-1579

Email: ktturner@elections.il.gov

To: Steven S. Sandvoss; Executive Director

Re: Senate Bill 172 Update

Date: November 4, 2015

As we know, a restructuring of the Illinois Voter Registration System (IVRS) is necessary not only to meet the requirements of SB172, but to efficiently maintain all other facets of the statewide database. The project began immediately upon the legislation being signed into law.

The project requires rewriting the IVRS client – the interface that is physically located at each of the 109 jurisdictions. This is a very complex piece of software critical to IVRS functionality. Shortly into the development, we made the decision to code the new client using a later version of the Microsoft .Net framework. This tool provides SBE IT the ability to take advantage of much more efficient and secure development techniques; it also requires more robust hardware/operating systems than those currently existing in many of the local jurisdictions.

We faced a similar challenge 10 years ago when the Help America Vote Act (HAVA) was passed. At that time, many jurisdictions had hardware incapable of running the IVRS client software while others had no computer systems at all. We made the decision then to utilize HAVA funds to purchase PCs for those jurisdictions. That approach proved very successful; some of those same PCs are still being used in many of the jurisdictions.

We are requesting that the Board grant us authority to once again use available HAVA funds to upgrade local systems. The local election authorities would purchase the equipment via the State's master contract. The SBE would then reimburse the jurisdictions for this procurement. The reimbursement limit would be \$2000 per jurisdiction. For those jurisdictions currently running the IVRS client on a server, we would offer them the option of using the funds to upgrade their server environment.

We estimate that approximately 60 jurisdictions will need to purchase new PCs or upgrade their servers. However, because jurisdictions are constantly improving their local systems as part of their routine maintenance practices, it is possible this number will decrease by the time we are ready to release the new IVRS client currently being developed.

This past spring we met with the Chief Information Officer (CIO) of the Department of Human Services (DHS) to discuss the interagency data exchange requirements set forth in SB172. We recently received a call from the agency's new CIO, Brad Long, requesting a meeting to discuss the legislation as he was not fully aware of the details of the mandate. During this meeting, held on November 3rd, he indicated to us that DHS is very eager to meet the requirements of the legislation. He plans to coordinate (as much as possible) with the other agencies mentioned in SB172 to streamline their data submissions to SBE.

We received the latest National Change of Address (NCOA) file and ran tests against the data to alleviate, as much as possible, jurisdictions having to reenter NCOA changes they had already made. This new data was released to each election authority this week. Unfortunately, other issues related to IVRS precluded us from releasing the NCOA data sooner.

With a full slate of IT projects, including the upcoming candidate filing/objection/records exams, SBE IT staff time available to devote to the SB172 project is limited. Therefore, we tasked the IVRS client restructuring project to a contractual employee who has been at SBE for over three years. During his tenure at SBE, this individual, with 30+ years of experience in the IT field, has worked exclusively on IVRS and has acquired considerable knowledge of the system and its applications. While many improvements have been made to the current system, the nearly 10 year old IVRS architecture/applications require an ever increasing amount of maintenance. We recently added an additional contractual employee to assist SBE IT staff with the daily maintenance of the current IVRS applications.

The SB172 mandate requires restructuring of IVRS and many complex subsystems. Obviously, we cannot shut down the current system and devote all IT resources to the modifications necessary to meet the requirements of the legislation. The trend of redirecting available contractual resources to work on critical IVRS maintenance issues is beginning to increasingly impact progress on the SB172 project. With the state budget impasse, our options for additional IT resources are virtually non-existent as no company/individual is anxious to enter into a contract knowing they will not be paid for many, many months.

STATE BOARD OF ELECTIONS



From the desk of.... Kyle Thomas
Director of Voting and Registration Systems
Phone: 217-782-1590
Email: kthomas@elections.il.gov

To: Steve S. Sandvoss; Executive Director
Re: Certification of Signature Imaging Systems
Date: November 5, 2015

The City of Chicago, as it prepares to fully switch over to a new voter registration system, has made application for approval of the signature imaging portion of the SKU voter registration system, as required by statute and rule.

Under Title 26, Section 207.140, election authorities are to make application to the State Board of Elections for approval of a signature imaging system, prior to employing said system for use in Illinois. The Rule states in part:

"Each election authority applying to the Board for the certification of a signature imaging system shall make its application in writing and shall represent to the Board that the system it seeks to have certified complies with applicable statutes. Such representation shall be sufficient evidence of compliance for the Board to certify the system."

"The Board shall accept the representation of the election authority that the system complies with applicable statutes as prima facie evidence that the system does in fact so comply"

As you can see, there are limited factors for consideration, and therefore limited Board discretion in the granting of approval for a signature imaging system when compared to other items subject to Board approval, such as voting systems certification. Therefore, in the interest of expediency, I would ask the Board to grant staff the authority to review these applications and make the appropriate approval for this and future signature imaging systems.

STATE BOARD OF ELECTIONS

STATE OF ILLINOIS

2329 S. MacArthur Blvd.
Springfield, Illinois 62704-4503
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100 W. Randolph St., Ste. 14-100
Chicago, Illinois 60601-3232
312/814-6440
Fax: 312/814-6485



EXECUTIVE DIRECTOR
Steven S. Sandvoss

BOARD MEMBERS
Charles W. Scholz, Chairman
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William M. McGuffage
Casandra B. Watson

MEMORANDUM

To: Chairman Scholz, Vice Chairman Gowen
Members of the Board
Steve Sandvoss, Executive Director

From: Kenneth R. Menzel, General Counsel

Re: Required Review of the Minutes of Executive Sessions

Date: November 2, 2015

Pursuant to 5 ILCS 120/2.06 (d) of the Open Meetings Act, every public body is required to review the minutes of their closed meetings to determine whether or not there is a need for continuing confidentiality of such minutes. In accordance with this requirement, the Executive Director and I reviewed the minutes of the Executive Sessions of the State Board of Elections for the period of April 21, 2015 thru September 21, 2015, and have concluded that the necessity of confidentiality does not exist as to such minutes.

Please note that the release of the minutes of the Executive Sessions for public inspection does not automatically release the verbatim recording of such Sessions. A specific authorization of the Board would be required to release such recordings.

Respectfully submitted,



Kenneth R. Menzel, General Counsel

Start Date	End Date	Activity	Division
9 /9 /2015		Begin to update the COUNTY OFFICERS book for the year 2016. (Target completion date: 12/7/2015) 10 ILCS 5/1A-8 (1, 2, 4, 11)	ET&RD
9 /19/2015		Send letter to those jurisdictions where in-precinct counting equipment is utilized requesting a list of those precincts involved in the election. 10 ILCS 5/24B-15, 24C-15	VRS
9 /21/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
10/1 /2015		First day that any political committee shall file its 2015 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/9 /2015		Begin to develop 2016 SBE Legislative Program - 100th General Assembly - for the upcoming legislative session, beginning January 2016. 10 ILCS 5/1A-8 (8)	LEG
10/15/2015		Last day that a political committee shall file its 2015 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES with the Board. 10 ILCS 5/9-10.	CAMP DISC
10/09/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
10/27/2015	10/29/2015	(date subject to change) Veto Session	LEG
11/2 /2015		Begin preparation of packet materials for 2015 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. Packets to be mailed or e-mailed December 2, 2015. 10 ILCS 5/9-15.	CAMP DISC
11/4 /2015		Date upon which the State Board of Election shall notify political committees that have failed to file the 2015 SEPTEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES by the deadline that an assessment will be levied against the committee for failure to file the report in a timely fashion. Rules and Regulations 125.425.	CAMP DISC
11/10/2015	11/12/2015	(date subject to change) Veto Session	LEG
11/16/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
11/23/2015	11/30/2015	Candidate filing period for General Primary (March 15, 2016). 10 ILCS 5/7-12	EL INFO

Start Date	End Date	Activity	Division
12/1 /2015		The last day that the State Board of Elections shall notify political committees that have filed a Statement of Organization of their obligation to file the 2015 DECEMBER QUARTERLY REPORT OF CAMPAIGN CONTRIBUTIONS AND EXPENDITURES. 10 ILCS 5/9-10, 9-15(9).	CAMP DISC
12/1 /2015		Notify election authorities of requirement to submit computerized voter registration information for the December 15, 2015 submission in a format prescribed by the SBE	VRS
12/2 /2015		Notify Department of Public Health for a list of facilities licensed or certified under the Nursing Home Care Reform Act or the MR/DD Community Care Act. This is to obtain a current list for the March 15th, 2016 General Primary Election. 10 ILCS 5/19-12.2	ET&RD
12/7 /2015		Deadline for filing objections to petitions of candidates who filed November 23 - November 30, 2015. 10 ILCS 5/10-8	EL INFO
12/9 /2015		Last day to conduct lottery to determine ballot position for 8 a.m. and last hour simultaneous filings for November 23 - November 30, 2015 filing period. 10 ILCS 5/7-7-12 (6)	EL INFO
12/9 /2015		Last day to transmit objections and call for electoral board hearings. 10 ILCS 5/10-8	EL INFO
12/11/2015		Present to the Board a list of legislative proposals for introduction in the next session.	LEG
12/14/2015	12/21/2015	Special filing period for judicial vacancies which occurred November 9 -November 30, 2015.	EL INFO
12/15/2015		First day for election authority to submit computerized voter registration file for the December 15, 2015 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
12/16/2015		Civic Organization certification cutoff date prior to the March 15, 2016 General Primary Election (not less than 90 days before next election). 10 ILCS 5/1A-8 (1, 2, 12) (Board Rule 207.50)	ET&RD

Start Date	End Date	Activity	Division
12/16/2015		Last day for SBE to send list of facilities licensed or certified under the Nursing Home Care Reform Act or the MR/DD Community Care Act to the proper election authorities. This list is for the March 15th, 2016 General Primary Election (not less than 120 days before each election). 10 ILCS 19-12.2	ET&RD
12/22/2015		BOARD MEETING. 10 ILCS 5/1A7	EXEC DIR
12/25/2015		Last day for election authority to submit computerized voter registration file for the December 15, 2015 submission. 10 ILCS 5/4-8,5-7,6-36	VRS
12/25/2015		Last day for election authorities to submit request for extension to file computerized voter registration information for the December 15, 2015 submission. Rules and Regulations	VRS
12/29/2015		Objection filing deadline to nomination papers of candidates who filed for judicial office during the December 14 - 21, 2015 filing period. (Special judicial filing) 10 ILCS 5/7-12.1	EL INFO
12/30/2015		Last day to conduct lottery to determine ballot position for 8 a.m. and last hour simultaneous filings for December 14 - 21, 2015 filing period.	EL INFO
12/31/2015		Last day to transmit objections and call for electoral board hearings.	EL INFO
1 /7 /2016		Mail March General Primary certifications to county clerks. 10 ILCS 5/1A-8 (1, 2, 11)	EL INFO
1 /7 /2016		Prepare and have available for distribution the listing of candidates for the March 2016 General Primary Election. 10 ILCS 5/1	EL INFO, ET&RD

4. **Follow up.**
5. **Comments from the general public.**
6. **Next Board Meeting scheduled for Monday, December 14, 2015 in Chicago and Springfield via videoconference.**
7. **Executive session.**